

PIABA Board of Directors Meeting
Agenda

Virtual
May 14, 2025
2:30pm CT

- I. CALL TO ORDER
- II. [Board of Directors Meeting Minutes](#), Courtney Werning (March 10, 2025)
- III. President's Agenda, Adam Gana
 - A. [AAA Securities Rules](#)
 - 1. The following Issues to review with the board
 - I. Cost
 - II. Arbitrator Qualifications
 - III. Arbitration Ranking - \$1 Million v. \$500,000 and 1 v 3 arbs
 - B. FINRA Updates
 - C. SEC Updates
 - 1. Investment Management and Trading and Markets Meetings
 - D. NASAA Updates
- IV. Vote Required
 - A. [Bylaws Committee – Amendments – Circulated on April 10, 2025](#)
 - 1. Educator Cost
 - 2. Student Cost
- V. Membership Drive
- VI. NAMC, Courtney Werning
- VII. [PIABA Financial Report](#), Lance McCardle and Jennifer Shaw
 - A. Increase Dues
 - B. PIABA Plus
 - C. PIABA Premium
- VIII. [PIABA Executive Director Report](#), Jennifer Shaw
 - A. Annual Meeting
 - 1. Insurance coverage
 - 2. Thursday event ideas
 - B. Lobbyist
- IX. Committee Reports
 - A. Amicus
 - B. Arbitration
 - C. Bar Journal
 - D. Bylaws
 - E. Diversity
 - F. Legislation
 - G. Midyear Meeting
 - H. Membership
 - I. RIA

J. SRO

K. Young Members

1. Young member event – May 15, 2025

X. Chicago Meeting

A. Schedule:

1. Friday July 24, 2025 – Dinner Reservations Sushi-San 7:30pm

2. Saturday July 25, 2025

I. Board Meeting

I. Breakfast 8:30am

II. Board Meeting 9:00am

III. Lunch 12:00pm

IV. Architecture Tour – TBD

V. Dinner 7:00pm

XI. Miscellaneous

A. Howard Rosenthal Request for Sharing of AAA Awards by PIABA Members