Virginia Mandatory Continuing Legal Education

Virginia State Bar
1111 East Main Street, Suite 700
Richmond, VA 23219-0026
Phone: (804) 775-0577
Web site: www.vsb.org

January 15, 2016

Via Email
Robin S. Ringo
Public Investors Arbitration Bar Association
2415 A Wilcox Drive
Norman, OK 73069

RE: NCC2438

Dear Ms. Ringo:

The course titled "PIABA Securities Law Seminar (2015)" has been approved for 5.5 credit hours including (1.0) credit hours for Ethics by the Virginia Mandatory Continuing Legal Education Board.

- Copies of rules, opinions, statutes, motions, briefs or other general resource materials without original materials provided by the presenter specific to the presentation do not meet the approval standards for written materials. The session "Supervisory and Compliance Structures of Brokerage Firms" is not approved.
- In the future written materials must be segregated or bookmarked by session. A linear file of materials is not acceptable for course review or for use by the attendee.

- Virginia attorneys requesting credit for this course will be required to remit a $100 late filing fee.

Accreditation of this program is approved through October 31, 2015. Enclosed are the applicable certification forms for your course. Any recording of this program for future presentation by any delivery means requires a separate application.

Please contact the MCLE Department if you have any questions.

Sincerely,

Gale M. Cartwright

Gale M. Cartwright
Director of MCLE

Virginia MCLE Board

CERTIFICATION OF ATTENDANCE (FORM 2)

MCLE requirement pursuant to Paragraph 17, of Section IV, Part Six, Rules of the Supreme Court of Virginia and the MCLE Board Regulations.

INSTRUCTIONS

Certify Your Attendance Online at www.vsb.org see Member Login

Complete this Certification. Retain for two years.

MCLE Compliance Deadline - October 31. MCLE Reporting Deadline - December 15.

A $100 fee will be assessed for failure to comply with either deadline.

Member Name: _____ **VSB Member Number:** _____

Address: _____ **Daytime Phone:** _____

_____ **E-mail Address:** _____

_____

City **State** **Zip**

Course ID Number: **NCC2438**

Sponsor: **PIABA**

Course/Program Title: **PIABA Securities Law Seminar (2015)**

Live Interactive * **CLE Credits (Ethics Credits):** **5.5** **(1.0)**

Date Completed:_____ **Location:** _____

By my signature below I certify

___ I attended a total of _____ (hrs/mins) of **approved CLE**, of which (_____) (hrs/mins) were in **approved Ethics**. Credit is awarded for actual time in attendance (0.5 hr. minimum) rounded to the nearest half hour. (Example: 1hr 15min = 1.5hr)

___ The sessions I am claiming had written instructional materials to cover the subject.

___ I participated in this program in a setting physically suitable to the course.

___ I was given the opportunity to participate in discussions with other attendees and/or the presenter.

___ I understand I may not receive credit for any course/segment which is not materially different in substance than a course/segment for which credit has been previously given during the same completion period or the completion period immediately prior.

___ I understand that a materially false statement shall be subject to appropriate disciplinary action.

* NOTE: A maximum of 8.0 hours from pre-recorded courses may be applied to meet your yearly MCLE requirement. Minimum of 4.0 hours from live interactive courses required.

_____ _____

Date Signature

Questions? Contact the MCLE Department at (804) 775-0577

If not certified online, this form may be mailed

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[Office Use Only: Live]

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CERTIFICATION OF TEACHING (FORM 3)

MCLE requirement pursuant to Paragraph 17, of Section IV, Part Six, Rules of the Supreme Court of Virginia and the MCLE Board Regulations.

INSTRUCTIONS

E-mail this form to mymcle@vsb.org

Follow Form 1 Instructions after 10/31 Compliance Deadline

Complete this Certification to Include Both Teaching and Attendance hours. Retain copy for two years.

MCLE Compliance Deadline - October 31. MCLE Reporting Deadline - December 15.

A $100 fee will be assessed for failure to comply with either deadline.

Member Name: _____ **VSB Member Number:** _____

Address: _____ **Daytime Phone:** _____

_____ **E-mail Address:** _____

_____

City **State** **Zip**

Course ID Number: **NCC2438**

Sponsor: **PIABA**

Course/Program Title: **PIABA Securities Law Seminar (2015)**

Live Interactive * **CLE Credits (Ethics Credits):** **5.5** **(1.0)**

Date(s) of Teaching: _____ Location(s): _____

ONLY SESSIONS WITH WRITTEN INSTRUCTIONAL MATERIALS ARE APPROVABLE FOR CREDIT

- My teaching segment was _____ (hrs/mins) of CLE, of which (_____) (hrs/mins) were in Ethics.
- In addition, I attended *other* segments totaling _____ (hrs/mins) of CLE, of which (_____) (hrs/mins) were in Ethics.
- I spent _____ hours preparing for teaching my segment of the course.
- No more than four (4) hours of preparation credit may be claimed per one hour of instructional time in your presentation, and no more than eight (8) hours total for any one course. Total credit is awarded for actual time spent teaching, attendance and preparation rounded to the nearest half hour. (Example: 1hr 15min = 1.5hr)
- A materially false statement shall be subject to appropriate disciplinary action.

* NOTE: A maximum of 8.0 hours from pre-recorded courses may be applied to meet your yearly MCLE requirement. Minimum of 4.0 hours from live interactive courses required.

_____ _____

Date **Signature**

Questions? Contact the MCLE Department at (804) 775-0577

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