

MEETING AGENDA

TUESDAY, SEPTEMBER 24, 2024



12:00PM – 1:00PM	ISSUE SPOTTING: THE BASICS OF BAD ADVICE
1:05PM – 2:05PM	HOW TO BRING AN INVESTMENT ADVISER CLAIM
2:15PM – 3:15PM	CIVILITY AND REPUTATION-BUILDING (ETHICS)
3:20PM – 4:20PM	MOCK OPENING AND CLOSING
4:25PM – 5:25PM	GATHERING DISCOVERY DOCUMENTS FROM CLIENTS
5:35PM – 7:00PM	OPENING RECEPTION

Issue Spotting: The Basics of Bad Advice – Jon Furgison

How to Bring an Investment Adviser Claim – Joseph Wojciechowski

Civility and Reputation-Building (Ethics) – Michael Bixby

Mock Opening and Closing – Joseph Peiffer

Gathering Discover Documents from Clients – Hugh Berkson

MEETING AGENDA

WEDNESDAY, SEPTEMBER 25, 2024

9:00AM - 10:00AM	AM00 - UPDATES FROM FINRA		
10:05AM - 11:05AM	AM01 - AUTOCALLABLE STRUCTURED NOTES		
11:15AM - 12:15AM	AM02 - HOW IS REG BI TRIED DIFFERENTLY THAN A SUITABILITY CASE		
12:15PM - 1:15PM	LUNCH/MEMBERSHIP MEETING		
1:30PM - 2:30PM	BO01 - BUSINESS OF CLASS ACTIONS	BO02 - WHERE SECURITIES AND INSURANCE PRODUCTS OVERLAP	BO03 - CORPORATE SUCCESSOR LIABILITY
2:45PM - 3:45PM	BO04 - MOTIONS TO DISMISS IN FINRA CASES	BO05 - ELDER ABUSE CLAIMS FOR THIRD PARTY SCAMS	BO06 - AN UPDATE REGARDING STRATEGIES AND LAW IN CRYPTO CASES
4:00PM - 5:00PM	AM03 - FROM THE DEFENSE BAR		

THURSDAY, SEPTEMBER 26, 2024

9:00AM - 10:00AM	BO7 - THINGS YOU SHOULD BE DOING BUT ARE NOT - PRACTICE TIPS AND TRICKS	BO8 - WHAT TO KNOW ABOUT OPTIONS CASES	BO9 - MOTIONS TO CONFIRM, VACATE, AND APPEALS
10:15AM - 11:15AM	BO10 - COMPLIANCE AND SUPERVISION OF REGISTERED INVESTMENT ADVISERS	BO11 - ARTIFICIAL INTELLIGENCE AND ITS USE OUR PRACTICES	BO12 - INCREASE DAMAGES WITH THE USE OF STATE SECURITIES ACTS OR OTHER STATE STATUES
11:30AM - 12:30PM	AM04 - JEOPARDY ETHICS		
7:00PM - 11:30PM	PRESIDENT'S DINNER & FUNDRAISER		

FRIDAY, SEPTEMBER 27, 2024

10:15AM - 11:15AM	AM05 - CLOSED SESSION ON AAA ARBITRATIONS AND JAMS		
11:15AM - 12:15PM	AM06 - MEDIATOR SESSION		

SESSION DESCRIPTIONS

AM00 – Updates from FINRA – Adam Gana

AM01 – Autocallable Structured Notes – Peter Mougey

AM2 – How is Reg BI Tried Differently Than A Suitability Case –

AM3 – From the Defense Bar – Andrew Stoltmann

AM4 – Jeopardy Ethics – Laura Dunning

AM5 – Closed Session on AAA Arbitrations and JAMS – Benjamin Edwards

AM6 – Mediator Session – Scott Greco

SESSION DESCRIPTIONS

BO1 – Business of Class Action – Adam Polk

BO2 – Where Securities and Insurance Products Overlap – How to Plead and Win These Cases – Mindy Steuer

BO3 – Corporate Successor Liability – Dave Neuman

BO4 – Motions to Dismiss in FINRA Cases – Jonathan Kurta

BO5 – Elder Abuse claims for Third Party Scams – How to Hold Broker Dealers, Investment Advisers, and Banks Responsible – Adam Gana

BO6 – An Update Regarding Strategies and Law in Crypto Cases – Daren Luma

SESSION DESCRIPTIONS

BO7 – Things You Should Be Doing But Are Not – Practice Tips and Tricks – Courtney Werning

BO8 – What to Know About Options Cases – Jason Kane

BO9 – Motions to Confirm, Vacate, and Appeals – Sam Edwards

BO10 – Compliance and Supervision of Registered Investment Advisers – Alan Rosca

BO11 – Artificial Intelligence and Its Use in Our Practice – Patti Vannoy

BO12 – Increase Damages with the Use of State Securities Acts or Other State Statutes – Lance McCardle