

Brokers Granted/Denied Expungement in Industry-Initiated Awards Issued 1/1/15 - 7/31/19

Sorted by: Docket #



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Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement	Results of
			Requests (#)	Requests
15-00093	Michael Joseph Kleinfelder	N/A	1	G
15-00168	Roberto Luis Rivera Vazquez	N/A	5	G
15-00170	Brian Raymond Nelson	N/A	1	G
15-00221	Brett Hina	N/A	1	G
15-00251	Keith J. Steidle	N/A	1	G
15-00386	Richard Scott Zinman	N/A	4	G
15-00392	Michael E. Magruder	N/A	1	G
15-00419	Gary Louis Breda	N/A	1	G
15-00428	Scott David Blair	N/A	1	G
15-00438	Allan Dean Yarkin	N/A	2	G
15-00537	Andrew Clark Blease	N/A	1	G
15-00843	Susan Poller-Newman	N/A	1	G
15-00894	N/A	Neil David Boyle	Unknown	D
15-00934	Jay Maltby	N/A	1	G
15-01085	Martin Oberman	N/A	1	G
15-01235	Thomas Durr Lips	N/A	1	G
15-01276	N/A	Jerry Enoch Stroud	1	D
15-01283	Lisa Ann Detanna	N/A	3	G
15-01357	Dustin E. Leach	N/A	1	G
15-01376	Karl Austin Pettijohn	N/A	1	G
15-01377	Richard P. Arzaga	N/A	1	G
15-01403	Lisa Ann Detanna	N/A	1	G
15-01458	John Mark Kever	N/A	1	G
15-01463	Stephanie Kelly	N/A	1	G
15-01493	Anita Marie D'Aguilar	N/A	1	G
15-01503	Joseph Shimko	N/A	1	G
15-01548	Gary Cremona	N/A	3	G/D
15-01601	Raymond T. Klosz	N/A	1	G
15-01636	Michael Charles Vogel	N/A	1	G
15-01778	Peter Joseph Klein	N/A	2	G
15-01780	Marc Kalter	N/A	1	G
15-01887	Ioana E. Cioc	N/A	1	G
15-01969	V. John Threlkeld	N/A	2	G
15-02144	Marc C. Seward	N/A	2	G
15-02207	Eric James Schindler	N/A	1	G
15-02264	Jared Michael Cohen	N/A	1	G
15-02270	Douglas P. McConnel	N/A	2	G
15-02297	Dennis Cora	N/A	1	G
15-02319	Camille Marie Gagliardi	N/A	1	G
15-02423	Brad Kalas	N/A	1	G
15-02424	Christy Gatien	N/A	1	G
15-02493	Edward Aloysius McDonough	N/A	1	G
15-02732	James Scott Hunter	N/A	1	G
15-02794	Bernard Fuchs	N/A	1	G
15-02876	Henry Boyce III	N/A	1	G
15-02909	Christopher Edward Coghlan	N/A	1	G
15-02927	Jack Ronald Wolff	N/A	1	G
15-02937	N/A	Herman Kahn	4	D
15-02955	Thomas Helm	N/A	1	G
15-03015	Edward Wayne Butowsky	N/A	6	G/W
15-03047	Michele Elaine Kief	N/A	1	G
15-03049	Eric L. Jansson	N/A	2	G
15-03202	Jason Raymond Savey	N/A	1	G
15-03205	Todd Lowell Kissel	N/A	7	G
15-03222	Keith J. Steidle	N/A	1	G
15-03311	Steven L. Sormani	N/A	4	G
15-03318	Thomas Michael Moran	N/A	1	G
15-03363	Karl Austin Pettijohn	N/A	1	G
15-03455	Joseph Anthony La Ferla Jr.	N/A	10	G
16-00018	Shawn Thomas Kesterson	N/A	1	G
16-00086	Rake Galloway Murray	N/A	4	G/D
16-00164	Timothy Burr Davis	N/A	3	G
16-00543	N/A	John Philip Toman Jr.	1	D
16-00551	Eric P. Berger	N/A	1	G
16-00638	Mark Brian Smalley	N/A	1	G
16-00691	Daniel P. Murphy	N/A	1	G
16-00740	Leonard Jay Suskind	N/A	2	G
16-00798	N/A	Christopher J. Calabrese	1	D
16-00846	Christopher Ray Warwick	N/A	1	G
16-00860	Joshua Ian Schneider	N/A	1	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
16-00893	Anthony S. Bacarella	N/A	1	G
16-00950	Steven Todd Kaplan	N/A	1	G
16-00968	Michael Moskowitz	N/A	1	G
16-01142	Brad Warren Stark	N/A	1	G
16-01150	Daniel H. Rosenberg	N/A	1	G
16-01211	Sid Ironson	N/A	3	G
16-01332	Marc A. Silverman	N/A	1	G
16-01367	Richard Jay Zinman	N/A	1	G
16-01375	Todd M. Brown	N/A	1	G
16-01502	Kevin C. O'Neill	N/A	1	G
16-01568	Louis Anthony Falvo	N/A	2	G
16-01622	Edward Moldaver	N/A	1	G
16-01625	Scott David Blair	N/A	1	G
16-01662	Matthew Lowe	N/A	1	G
16-01693	Kean O. Boucher	N/A	1	G
16-01767	John Bernard Burke	N/A	1	G
16-01775	Patrick J. Biggins	N/A	1	G
16-01866	David H. Miller	N/A	2	G
16-01969	Sean Mark Fetterman	N/A	8	G/D
16-01979	Veronica Lopez-Lopez	N/A	1	G
16-02075	William Leven	N/A	1	G
16-02077	William David Leven	N/A	3	G
16-02078	William Leven	N/A	1	G
16-02079	William Leven	N/A	1	G
16-02082	Nelson Frederick Faro	N/A	1	G
16-02093	Kurt F. Sylvia	N/A	2	G
16-02206	Susan Clayton Moore	N/A	2	G
16-02290	Eric Scott Wishan	N/A	1	G
16-02320	Eric Scott Bodner	N/A	1	G
16-02347	Jane An	N/A	7	G/D
16-02397	N/A	Michael Hanna	4	D
16-02424	Neil Ivan Canell	N/A	1	G
16-02429	N/A	Kristopher Chesley Whitney	Unknown (at least 2)	D
16-02431	Thomas James Freitag	N/A	1	G
16-02457	Jay Barry Canell	N/A	1	G
16-02478	Ramnarain Joseph Jaigobind Jr.	N/A	1	G
16-02542	Joseph Adrian Motley	N/A	1	G
16-02543	Paul Vincent Saltaformaggio	N/A	1	G
16-02547	Dean Bennett Williamson	N/A	2	G
16-02548	Jose Castillo	N/A	1	G
16-02582	Lance Alden Lipset	N/A	1	G
16-02666	Joseph Piellucci	N/A	3	G
16-02691	Corbett Michael LaDuke	N/A	3	G/D
16-02701	Michael Edwin Magruder	N/A	1	G
16-02728	Matthew Jehn	N/A	3	G
16-02769	Sean Luigi Covi	N/A	1	G
16-02778	Gregg Jason Cuvin	N/A	1	G
16-02781	Patrick James Dwyer	N/A	7	G
16-02843	Bernard J. DelGiorno	N/A	1	G
16-02858	Karl Austin Pettijohn	N/A	1	G
16-02889	Christopher D. Stoddard	N/A	6	G
16-02894	Edward F. Camp	N/A	2	G/D
16-02910	Christina Lindsey Orta	N/A	1	G
16-02928	John Cunningham Lindsey	N/A	1	G
16-02936	N/A	Christopher Raphael Vasquez	Unknown	D
16-02943	Bruce Grosvenor Allen	N/A	1	G
16-02944	Glen D. Smith	N/A	1	G
16-02985	Kevin Brooks Kugley	N/A	1	G
16-03006	Travis Jerome Hughes	N/A	13	G/D
16-03013	Keith Eric Singer	N/A	1	G
16-03042	Eric Anthony Stubbs	N/A	2	G
16-03055	Nancy Lynne Bello	N/A	1	G
16-03056	Travis John Hudak	N/A	4	G
16-03058	Joseph Brian Mondell	N/A	1	G
16-03061	Rolf Maille	N/A	1	G
16-03081	Robert A. Lieb	N/A	4	G/D
16-03096	Stephen Anthony Dellelo	N/A	3	G
16-03102	Michael Vincent Addessi	N/A	1	G
16-03104	Stephen Overton	N/A	1	G
16-03108	Derek Nathan Eichenwald	N/A	2	G
16-03111	Richard Vincent Bertain Jr.	N/A	2	G/D
16-03124	Leslie Benjamin Goldstein	N/A	1	G
16-03126	N/A	David Martin Boike	1	D
16-03130	John F. Erdmann III	N/A	2	G
16-03133	Paul Joseph McIntyre	N/A	2	G
16-03135	N/A	Thomas Frances Alessi	6	D
16-03138	Derek Lee Copeland	N/A	2	G
16-03141	Michael Anthony Destro	N/A	5	G
16-03159	N/A	Jeffrey David Thoele	10	D
16-03192	Charles Paul Reinhold	N/A	3	G
16-03194	Dale Thomas Miller	N/A	2	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
16-03211	Jeffrey Aaron Horn	N/A	1	G
16-03212	Martin James Flores Jr.	N/A	1	G
16-03213	Thomas J. Hake	N/A	2	G
16-03214	Daryl Debol	N/A	1	G
16-03217	Peter Zubizarreta	N/A	1	G
16-03219	N/A	Valery Scancellia	1	D
16-03224	Vivienne Karin Schleu	N/A	5	G
16-03244	Craig James Ferrantino	N/A	3	G/D
16-03245	John Christian Barrett	N/A	3	G
16-03250	Robert Howard Keller	N/A	1	G
16-03259	Paul Jacques Stawinski	N/A	7	G/D
16-03262	Randall Jeffrey Bodner	N/A	3	G/D
16-03277	N/A	Thomas Henry Kirk III	2	D
16-03312	Lori Ann Welborn	N/A	2	G
16-03322	Marc Morell	N/A	1	G
16-03341	Steven Joseph Case	N/A	4	G/D
16-03348	Eric Francis Hernandez	N/A	2	G
16-03362	Mark Eric Zuckerman	N/A	3	G
16-03366	Fillip Gershon	N/A	2	G
16-03371	Fillip Gershon	N/A	9	G
16-03403	Jeffrey Samuel Gurman	N/A	8	G/W
16-03406	Bryan James Engebretson	N/A	1	G
16-03420	Kenneth W. Carter	N/A	1	G
16-03434	Garry Wayne Bridgeman	N/A	1	G
16-03444	Robert Jamison Packer	N/A	1	G
16-03445	Alfred Gary Kovacs	N/A	1	G
16-03452	Kenneth W. Carter	N/A	1	G
16-03459	Carl Blair Pritchett III	N/A	2	G
16-03478	N/A	Andrew L. Schade	1	D
16-03496	Charles Dara Day	N/A	2	G
16-03508	Daniel George McPherson	N/A	3	G/D
16-03521	Ryan Christopher Wyatt	N/A	2	G
16-03542	Michael David Newton	N/A	6	G
16-03560	Wayne Allen Meland III	N/A	2	G
16-03567	Phillip Wayne Scott	N/A	4	G
16-03579	Kenneth James Meyers	N/A	6	G/D
16-03589	William Bordon Danzell	N/A	2	G
16-03593	Douglas Bielefeldt	N/A	1	G
16-03595	Sean P. Moran	N/A	1	G
16-03662	Traci L. Born	N/A	2	G
16-03676	James Benjamin White	N/A	1	G
16-03720	Nihaal Mohan Rao	N/A	3	G
16-03723	Ricardo Latta	N/A	5	G/D
17-00020	Forrest Michael Friedow	N/A	3	G
17-00040	Mark Alexander Soukup	N/A	1	G
17-00090	Thomas Maclone Gray	N/A	8	G/D
17-00096	Steven Joseph Gilbert	N/A	6	G/D
17-00098	Anita Brennan	N/A	1	G
17-00114	Peter R. de Castro	N/A	1	G
17-00128	N/A	Thomas Joseph O'Connell, Jr.	1	D
17-00146	David Rosenberg	N/A	1	G
17-00159	Michael James Labrie	N/A	1	G
17-00172	Thomas J. Lindia III	N/A	2	G
17-00177	N/A	Samuel Johnathan Bennett	1	D
17-00207	Bradley Robert Burton	N/A	2	G
17-00219	Brandon Christopher Oliver	N/A	1	G
17-00224	James Raymond Everitt	N/A	1	G
17-00225	Jon Ronald Springer	N/A	4	G
17-00236	Mark Lawrence Eshman	N/A	3	G
17-00238	James Robert Files	N/A	5	G
17-00289	Kathleen McNicholas Entwistle	N/A	1	G
17-00293	Michelle M. Peng	N/A	3	G
17-00304	Thomas Roper Fields III	N/A	4	G
17-00306	David Rosenberg	N/A	1	G
17-00309	N/A	Chad Everett Staskall	1	D
17-00319	N/A	Mark Tollie Gierl	3	D
17-00333	Anthony Dye	N/A	1	G
17-00369	Ambrose Powell Hill	N/A	1	G
17-00371	Robert Dean Pippenger Jr.	N/A	3	G
17-00373	Jeremy David Gottlieb	N/A	1	G
17-00397	Kent Cope Habecker	N/A	3	G
17-00402	Andrew Lief Rieger	N/A	3	G
17-00403	Socrates E. Cruz	N/A	1	G
17-00405	Daniel Shiek Ahmad	N/A	7	G
17-00416	Jeremy Lansat	N/A	2	G/D
17-00436	Adam S. Greenstein	N/A	1	G
17-00438	Robert Carlson	N/A	1	G
17-00442	James Edgar Bradley	N/A	2	G
17-00443	Steven P. McTaggart	N/A	2	G
17-00446	Theodore Cooke Waters III	N/A	1	G
17-00447	Brett Douglas Clifford	N/A	2	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
17-00457	Mark Edward Schulten	N/A	2	G
17-00472	David Jay Bass	N/A	1	G
17-00509	Scott Daniel Hood	N/A	2	G
17-00511	Bradley Jay Barnblatt	N/A	1	G
17-00516	William Rex Bahney	N/A	1	G
17-00532	Aaron A. DeSignore	N/A	1	G/D
17-00533	Mark Andrew Smith	N/A	2	G
17-00545	Thomas Joseph McInerney	N/A	5	G
17-00550	Mark Edward Graham	N/A	2	G
17-00555	J. Graydon Coghlan	N/A	9	G
17-00561	Timothy Martin Foster	N/A	4	G/D
17-00575	N/A	Gregory Patrick Hayes	Unknown	D
17-00579	Nancy Kimball Mellon	N/A	1	G
17-00589	Paul Scott Selvin	N/A	1	G
17-00624	Geoffrey A. Frazier	N/A	2	G
17-00626	Kevin Harold Wilson	N/A	1	G
17-00666	Brett Andrew Laferrera	N/A	2	G/D
17-00670	Stephen Gerard Rossi Sr.	N/A	1	G
17-00727	Richard Brian French	N/A	3	G
17-00734	Kevin Dale Kimery	N/A	1	G
17-00736	Kevin Clayton Fifield	N/A	2	G
17-00742	David Alex Ciccone	N/A	1	G
17-00743	Frank Julio Carrasco	N/A	1	G
17-00760	Richard Ralph Lafferty	N/A	1	G
17-00761	Michael Scott Luftman	N/A	6	G/D
17-00775	Barry Franklin Johnson	N/A	2	G
17-00789	N/A	Michael Vernon Biggs	2	D
17-00796	Karen Ann Kurrasch	N/A	1	G
17-00812	N/A	John David Jaicks	1	D
17-00825	Nicholas Cowles Cochran	N/A	1	G
17-00853	Craig Daniel Price	N/A	4	G
17-00865	Howard Mirvis	N/A	1	G
17-00867	Dirk David Rabenold	N/A	1	G
17-00881	Alvin Sanders	N/A	2	G/D
17-00890	Michael Alan Greer	N/A	1	G
17-00893	Matthew Timothy Shafer	N/A	4	G
17-00901	N/A	Victoria Lynn Mueller	1	D
17-00932	Charles Ellis Connant	N/A	2	G
17-00933	Suzanne Hillary Nitzberg	N/A	2	G
17-00934	Joseph Francis Mahoney	N/A	1	G
17-00936	John C. Tompkins	N/A	1	G
17-00937	Ronald Oldano	N/A	1	G
17-00941	Joseph Alan Seidler	N/A	1	G
17-00970	Curtis Leroy Whipple	N/A	2	G
17-00982	Harvey Robert Boshart	N/A	1	G
17-01003	Kevin Clayton Fifield	N/A	1	G
17-01027	Genevieve Garcia Mar	N/A	2	G
17-01039	Manish Mehta	N/A	1	G
17-01044	Brandon Jeffrey Mink	N/A	1	G
17-01049	R. Mark Castle	N/A	1	G
17-01050	Gregory Robert Sain	N/A	1	G
17-01081	Jordan Mark Dechtman	N/A	5	G
17-01093	Heidi Lydia Hanson	N/A	1	G
17-01094	Thomas Edison Kelly	N/A	2	G
17-01108	William Geiger Stafford	N/A	3	G
17-01117	Jeremy Bardin	N/A	1	G
17-01125	David Robert Begala	N/A	1	G
17-01127	Christopher Alan Clark	N/A	1	G
17-01129	Leonard Frank Valletta	N/A	1	G
17-01135	Jeffrey Elliott Leventhal	N/A	2	G/D
17-01141	Lawrence William Catena	N/A	5	G
17-01144	Anthony C. Pecoraro	N/A	1	G
17-01151	Thomas Kerby Hall	N/A	5	G
17-01153	David S. Coult	N/A	1	G
17-01201	Alex Papadopoulos	N/A	2	G/D
17-01210	Ronald Mark Daly	N/A	1	G
17-01215	Mark Stephen Kaschenbach	N/A	1	G
17-01235	N/A	James Edmond Moniz	8	D
17-01240	Patrick Ryan Henry	N/A	1	G
17-01245	Marc Allan Silverman	N/A	2	G
17-01260	Charles Daniel Fuller	N/A	1	G
17-01262	Scott Alan Jaffe	N/A	3	G
17-01263	N/A	Louis Meyers Tilchin	4	D
17-01286	Colin Gregory Wiens	N/A	1	G
17-01288	N/A	Michael Eugene Pierce	1	D
17-01307	Kevin Clayton Fifield	N/A	2	G
17-01309	Christopher Brent Metcalf	N/A	2	G
17-01310	Christopher B. Metcalf	N/A	1	G
17-01330	Vasileios Alexios Sfyris	N/A	2	G
17-01331	William Heath Hawk	N/A	3	G
17-01391	David Wayne Adams	N/A	1	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
17-01395	John David Santi	N/A	1	G
17-01405	Gregg Michael Somerville	N/A	1	G
17-01410	Kari March	N/A	1	G
17-01420	Anthony Daniel Guinane	N/A	1	G
17-01429	Vincent Molinari	N/A	1	G
17-01433	Gray K. Daus	N/A	1	G
17-01443	Rafael Rivera	N/A	15	G
17-01464	Joseph Bancheri	N/A	1	G
17-01468	Edward Upchurch Miller	N/A	1	G
17-01493	Stephen Frederick Owen, III	N/A	3	G
17-01494	James Edward Chiata	N/A	2	G
17-01506	Jarrod Edward Biebel	N/A	3	G/D
17-01537	Peter Chris Marketos	N/A	3	G
17-01539	Pamela M. Nottingham	N/A	1	G
17-01545	George William Melbrod Jr.	N/A	1	G
17-01548	Paisu Kang	N/A	1	G/D
17-01549	Peter C. Marketos	N/A	4	G/D
17-01562	Thomas James Kokjohn	N/A	1	G
17-01566	Sean Michael Murphy	N/A	4	G
17-01602	Leonard D. Kichler	N/A	1	G
17-01616	Ira Allen Walker	N/A	1	G
17-01624	Mary Colleen Wright	N/A	5	G/D
17-01641	Mark Alexander Soukup	N/A	1	G
17-01650	Stephen Phillip Whitman	N/A	3	G
17-01659	Douglas Peter Eikenberry	N/A	1	G
17-01661	Stephen Joseph Koessl	N/A	1	G
17-01662	N/A	Todd J. Barden	3	D
17-01667	Paul Douglas Larson	N/A	3	G/D
17-01708	Norman Todd Coates	N/A	4	G
17-01717	Michael D. Prokop	N/A	2	G
17-01732	Daniel Martin Ciez	N/A	3	G
17-01759	Terry Ian Zickerman	N/A	3	G
17-01764	James Matthew Patton	N/A	3	G
17-01771	N/A	Farhood Kasiri	2	D
17-01790	Noah Levine	N/A	1	G
17-01800	William Blaine Smith	N/A	1	G
17-01803	Bryan Keith Kaarlsen	N/A	1	G
17-01811	Gregory S. Golding	N/A	3	G
17-01821	Ryan Raymond Callaway	N/A	1	G
17-01823	Michael D. Clancy	N/A	2	G
17-01832	Padraig Kevin McBrien	N/A	1	G
17-01843	Avinash Daswani	N/A	2	G/W
17-01858	Virgil John Threlkeld	N/A	2	G/W
17-01866	Jeffrey Scott Heinel	N/A	6	G
17-01888	Susanna Kim Bracke	N/A	1	G
17-01895	N/A	David Allen Peterson	1	D
17-01901	Michael Erin Tomren	N/A	3	G/D
17-01907	Jason Maxwell Katz	N/A	1	G
17-01921	Daniel Lloyd Wildermuth	N/A	1	G
17-01927	Michael Douglas Hanke	N/A	3	G
17-01928	Brent H. Hoffman	N/A	1	G
17-01937	N/A	Bradley Clyde Fischer	2	D
17-01943	Stephen James Fish	N/A	1	G
17-01952	Brian Thomas Cain	N/A	1	G
17-01959	Jay Duane Hokanson	N/A	3	G/D
17-01960	John Paul Long	N/A	2	G/D
17-01973	Gary B. Ghigliotti	N/A	5	G
17-01977	Eric Michael Earnhardt	N/A	1	G
17-01983	Regina Ann Bernarducci	N/A	3	G/W
17-01999	Nancy Kimball Mellon	N/A	1	G
17-02009	Christopher D. Cervantes	N/A	2	G
17-02023	Norman Abraham Epstein	N/A	1	G
17-02036	Patrick Kieran Tierney	N/A	2	G
17-02044	James Watson Garner	N/A	3	G
17-02059	Louis Tranquilli	N/A	1	G
17-02061	Paul K. Wong	N/A	4	G
17-02070	Jenny Chu	N/A	1	G
17-02089	David Anthony Mendoza	N/A	4	G
17-02107	Richard Joseph Bellew	N/A	2	G/D
17-02150	N/A	Anthony Joseph Ferrara	2	D
17-02157	Matthew D. Gold	N/A	2	G
17-02162	Michael David Kanigher	N/A	1	G
17-02165	Monda D. Hanna	N/A	2	G
17-02182	Richard Grobman	N/A	7	G
17-02213	Todd J. Hubley	N/A	1	G
17-02216	Ari Moshe Baum	N/A	1	G
17-02218	N/A	Walter Chandler Martin	1	D
17-02248	Michael Robert Pohlod Jr.	N/A	1	G
17-02256	Randall Scott Larson	N/A	1	G
17-02257	Jeffrey Worth Ostrander	N/A	3	G/D
17-02260	Elizabeth Angelone	N/A	3	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
17-02261	Elizabeth Angelone	N/A	3	G
17-02285	Frank David Voli	N/A	1	G
17-02295	Matthew Aaron Turner	N/A	2	G
17-02323	Frank Wong	N/A	5	G
17-02329	John Daniel Quinn	N/A	2	G
17-02331	Charles Cheng Zhang	N/A	9	G
17-02332	Stephen John Seremetis	N/A	3	G/D
17-02333	Dennis Anthony Edmonds	N/A	2	G
17-02349	Todd Raymond Allen	N/A	1	G
17-02359	Ralph Michaels Slocum	N/A	1	G
17-02375	Brad Warren Stark	N/A	2	G
17-02392	Adam Steven Riback	N/A	3	G
17-02395	Brian Patrick Mulvey	N/A	2	G
17-02400	Michael David Allard	N/A	2	G
17-02413	Rita K. Mahn	N/A	1	G
17-02414	Barry Evan Rubin	N/A	2	G
17-02416	Jeffrey Steven Cohen	N/A	2	G
17-02453	Paul Tashima	N/A	7	G
17-02461	Bradley Todd Glasman	N/A	21	G/D
17-02462	Bradley Todd Glasman	N/A	1	G
17-02465	Gregory Brian VanWinkle	N/A	24	G
17-02468	Erik Christopher Weir	N/A	2	G/D
17-02469	Erik Christopher Weir	N/A	2	G
17-02477	Christopher Jameson Wynne	N/A	6	G
17-02488	Mark Joseph Seto	N/A	1	G
17-02490	Aaron Brian Graham	N/A	1	G
17-02492	Fred Hamsayeh	N/A	1	G
17-02503	Amanda Beth Glanz	N/A	1	G
17-02512	Dustin Tylor Aiguier	N/A	4	G/D
17-02517	Tara Ashley Sanders	N/A	1	G
17-02518	Annick Iwanowski	N/A	1	G
17-02527	Rafael Antonio De Vengoechea	N/A	1	G
17-02530	Michael Lazari Karapetian	N/A	1	G
17-02532	Kenneth J. Wise	N/A	1	G
17-02535	N/A	Mitchell Dean Horst	1	D
17-02539	Norman David Schlismann	N/A	2	G
17-02544	Tracy Smith King	N/A	1	G
17-02577	Girish Shrinivas Thakar	N/A	2	G
17-02597	Peter C. Han	N/A	1	G
17-02636	N/A	Jason McTeague Scheurer	6	D
17-02642	Royce Bergman	N/A	1	G
17-02652	Andrew Thompson Seaborg	N/A	1	G
17-02664	Nelson Frederick Faro	N/A	1	G
17-02666	N/A	James Steinbrenner	1	D
17-02672	Beth Murray	N/A	1	G
17-02679	Michael Laurence DiGaetano	N/A	2	G
17-02743	Michael Charles Warr	N/A	1	G
17-02746	Jeffrey Brian Mackevich	N/A	1	G
17-02748	Charles Ceasar Werckenthien	N/A	1	G
17-02749	John Alfred Ledford	N/A	2	G
17-02753	Frank Julio Carrasco	N/A	1	G
17-02757	James Michael Poindexter	N/A	1	G
17-02758	Paul James Garrett	N/A	1	G
17-02762	John Francis Macielag	N/A	3	G/W
17-02763	John Francis Macielag	N/A	2	G
17-02767	Rebecca Rose Stay	N/A	1	G
17-02798	David Louis Levine	N/A	5	G
17-02844	Michael George Ferguson	N/A	1	G
17-02854	Kent Roger Snodgrass	N/A	2	G
17-02858	N/A	James Leroy Black	1	D
17-02863	Donald Richard Hehir	N/A	2	G
17-02872	Allen Robert Rosenberg	N/A	2	G
17-02877	Eric Scott Bodner	N/A	4	G/D
17-02881	Marko Andres Rendic	N/A	2	G
17-02891	Dan Michael Gallagher	N/A	2	G
17-02925	Michael Fasciglione	N/A	3	G
17-02931	Shawn Anthony Rogers	N/A	2	G
17-02932	Mark Sam Kolta	N/A	3	G
17-02935	Rebecca Susan Kennell	N/A	1	G
17-02953	Michael Patrick Butterworth	N/A	3	G
17-02976	Michael A. Sabota	N/A	3	G
17-02982	Shaun Perry Nicholson	N/A	4	G/D
17-02985	Aaron Brian Graham	N/A	4	G
17-02988	N/A	Kerry Alan Stinman	1	D
17-02993	Richard Joseph Homuth	N/A	2	G
17-03006	Robert Joseph Binkele	N/A	2	G/W
17-03011	Roy Matthew Strong	N/A	3	G/D
17-03018	N/A	Sean Patrick McDermott	2	D
17-03020	Kevin Francis Sullivan	N/A	1	G
17-03022	Gregory Ray Powell	N/A	1	G
17-03028	Michael Marc Licardello	N/A	1	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
17-03045	Eric Todd Harris	N/A	2	G/D
17-03061	Allen Robert Rosenberg	N/A	3	G
17-03064	N/A	Audrey Sreshta	1	D
17-03083	John Charles Adams	N/A	1	G
17-03085	N/A	Larry Carlton Milton	1	D
17-03089	Bernard Paul Martin Jr.	N/A	4	G/W
17-03091	Frank William Esposto	N/A	3	G
17-03107	N/A	Daniel Eathan Dodgen	1	D
17-03116	Michael Edward Chadwick	N/A	1	G
17-03119	Michael C. Seamands	N/A	2	G
17-03122	James Kelly Biggar	N/A	1	G
17-03142	Edward Anthony Montanez Jr.	N/A	2	G
17-03143	Thomas E. Lieser	N/A	1	G
17-03144	N/A	Lashell Louise Lentz	2	D
17-03155	Peter Nicholas Inserra	N/A	3	G
17-03156	Jason Grady Sanders	N/A	6	G
17-03160	Marko Andres Rendic	N/A	2	G
17-03169	Richard Joseph Homuth	N/A	2	G
17-03184	N/A	Timothy Carlin McCabe	2	D
17-03188	Kevin Douglass Ellman	N/A	1	G
17-03192	Michael Thomas Damon	N/A	3	G/D
17-03199	Kevin Andrew Golden	N/A	1	G
17-03202	Lisa Ann Detanna	N/A	1	G
17-03205	David Max Garrison	N/A	1	G
17-03218	Brian Arthur Marzulli	N/A	1	G
17-03241	Samuel Phillip Belsito	N/A	2	G
17-03259	N/A	Darren Jay Wright	1	D
17-03271	Edward Anthony Montanez Jr.	N/A	2	G
17-03273	Mallory Weil Brenner	N/A	6	G
17-03288	Eric Todd Harris	N/A	2	G
17-03295	William Wallace Wolfe II	N/A	1	G
17-03308	Robert Anderson	N/A	2	G
17-03347	Laurie Barry	N/A	1	G
17-03354	James Robert Schrader	N/A	1	G
17-03355	Charles Edward Duddingston	N/A	2	G
17-03356	Randall Todd Lehman	N/A	1	G
17-03357	Scott George Pinkerton	N/A	6	G/D
17-03361	Mark Steven Kruman	N/A	1	G
17-03365	N/A	William Bemis Elson	1	D
17-03366	Larry Steven Erlichman	N/A	1	G
17-03367	Eric Todd Harris	N/A	2	G
17-03370	Richard N. Fisher, Jr.	N/A	1	G
17-03379	Collin Andrew Meyer	N/A	3	G
17-03388	Marc L. Scudillo	N/A	3	G
17-03397	Michael Anthony Schiavello	N/A	2	G
17-03415	N/A	Daniel John Ruediger	1	D
17-03416	Brian Paul MacKinnon	N/A	1	G
17-03421	Brian David Behl	N/A	1	G
17-03424	Joseph Mitchell Cothron	N/A	1	G
17-03445	N/A	Thomas George Sandkamp	2	D
17-03450	Thomas John Brandt	N/A	1	G
17-03462	Marc L. Scudillo	N/A	19	G
17-03471	Michael W. Butt	N/A	3	G
17-03473	Clara Isabel Fernandez Colorado	N/A	1	G
17-03489	Adil Ramzan Ali	N/A	1	G
17-03499	Kevin Mark Nast	N/A	1	G
17-03500	Andrew Evan Samalin	N/A	2	G
17-03506	Michael W. Butt	N/A	2	G
18-00020	N/A	Marlis Ann Gilbert	2	D
18-00022	Stephen Caruso	N/A	4	G
18-00029	N/A	Charles Joseph LaRocco	3	D
18-00034	Douglas J. Donnelly	N/A	3	G
18-00053	Mark Owen Stephan	N/A	1	G
18-00069	Bart Steven Kaplow	N/A	3	G/D
18-00086	John Mark Ross	N/A	3	G
18-00092	Ariel Bobroff	N/A	1	G
18-00094	N/A	Bradley Todd Glasman	3	D
18-00098	George Robert Meyer	N/A	1	G
18-00107	James Harold Lee	N/A	1	G
18-00116	Thomas W. Howes	N/A	1	G
18-00123	Gregory Sean Hester	N/A	1	G
18-00129	Sandra I-Hsien Wang	N/A	1	G
18-00134	Marc Scott Schliefer	N/A	2	G
18-00139	Keith Douglas Seibert	N/A	2	G
18-00152	Shawn Shyama Patt	N/A	1	G
18-00154	Gregory Jay Chebuske	N/A	3	G
18-00159	Jose E. Chaves	N/A	4	G
18-00170	Gregory Jay Chebuske	N/A	1	G
18-00174	John G. Scott Jr.	N/A	1	G
18-00175	Jeffrey Fratarcangeli	N/A	1	G
18-00178	Mary Brigid Mullin	N/A	2	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
18-00179	Daniel Thomas Foran	N/A	2	G
18-00187	Cheryl Ann Squier	N/A	1	G
18-00194	Garland Richard Homes	N/A	2	G
18-00197	N/A	Linda Lundstrom Cook	1	D
18-00204	Michael Paul Huddles	N/A	1	G
18-00205	George Joseph Schietinger, Jr.	N/A	1	G
18-00208	Jared Blair Smith	N/A	1	G
18-00225	Gary Robert Davis	N/A	2	G
18-00232	John Patric Hyland	N/A	1	G
18-00234	John Francis Foran	N/A	1	G
18-00240	George Sirigotis	N/A	1	G
18-00244	Mykel Ajani Barnes	N/A	2	G
18-00245	Robert Barry Harwood	N/A	2	G
18-00247	Edward Carlton Fox, III	N/A	6	G
18-00248	N/A	John Boone Kincaid	2	D
18-00250	George Joseph Schietinger	N/A	3	G
18-00261	John Gerard Tyczynski	N/A	3	G
18-00262	Christopher Michael Faggioni	N/A	3	G
18-00276	Michael Lawrence Sangirardi	N/A	1	G
18-00278	Christopher Michael Faggioni	N/A	1	G
18-00280	Thomas John Alley	N/A	2	G
18-00289	Andrew Scott Brief	N/A	2	G
18-00290	Kenneth Fredrick Hill	N/A	2	G
18-00291	John Paul Enright	N/A	1	G
18-00292	Damany Eric Henry	N/A	2	G/D
18-00294	Kent Vincent Pearce	N/A	3	G
18-00303	Kevin Clayton Fifield	N/A	2	G
18-00315	Geoffrey L. Fizel	N/A	1	G
18-00325	N/A	Daryl Andrew Cole	1	D
18-00328	Timothy Paul Miller	N/A	1	G
18-00331	Christopher Michael Lazos	N/A	1	G
18-00333	N/A	Melanie Folstad	1	D
18-00343	Karl Frederick Frank	N/A	1	G
18-00344	Ralph Bernard Linzmeier Jr.	N/A	1	G
18-00373	Gary R. Davis	N/A	1	G
18-00381	James Stephen Martin	N/A	3	G
18-00396	John Roland Russell	N/A	4	G
18-00398	Young Mo Chung	N/A	1	G
18-00401	Bruce Kendall Ballard	N/A	1	G
18-00405	Sonny Hamesh Gulati	N/A	2	G
18-00414	Michael P. Halperin	N/A	1	G
18-00416	N/A	Trent W. Montague	1	D
18-00417	Michael W. Butt	N/A	2	G
18-00418	Scott Michael MacDonald	N/A	3	G
18-00422	Francisco Javier Fernandez Leon	N/A	2	G
18-00427	N/A	Thomas W. Howes	2	D/W
18-00435	Jeffrey Michael Speicher	N/A	1	G
18-00438	Matthew Lawrence Schultz	N/A	1	G/D
18-00440	N/A	Costa Tzotzis	1	D
18-00447	Keith Joseph Steidle	N/A	1	G
18-00448	Robert A. Rothman	N/A	1	G
18-00450	Sean Wesley Mollard	N/A	1	G
18-00454	Ira D. Kravitz	N/A	4	G
18-00459	Manfred Hans Hegwer Jr.	N/A	2	G
18-00462	Matthew Tyler Shull	N/A	1	G
18-00463	Kent Vincent Pearce	N/A	2	G
18-00464	Thomas Christophe Prentice	N/A	2	G
18-00465	Antonio Ramirez	N/A	3	G
18-00467	Renick Brown	N/A	1	G
18-00468	David Alan Skutnik	N/A	2	G
18-00473	David James Christensen	N/A	1	G
18-00480	Charles George Duncan	N/A	1	G
18-00481	Mark Richard Lazar	N/A	1	G
18-00483	Andrew Samuel Perri	N/A	1	G
18-00484	N/A	Patrick Francis Kelly	1	D
18-00485	N/A	Rhett Paul Neuman	1	D
18-00488	Michael A. Poutre II	N/A	1	G
18-00493	Christopher Michael Lazos	N/A	1	G
18-00494	Robert Emmett Gill	N/A	2	G/D
18-00496	Stacie Weiner	N/A	2	G/D
18-00501	N/A	Jeffrey Guy LaBelle	3	D
18-00506	Gabriel Yanez	N/A	2	G
18-00507	Aaron Douglas Maurer	N/A	2	G
18-00519	Iain Sharpe	N/A	1	G
18-00520	Iain Sharpe	N/A	1	G
18-00521	Lauren Law Oschman	N/A	1	G
18-00531	William Christopher Glassman	N/A	2	G
18-00537	David Richard Wiercinski II	N/A	2	G
18-00541	Gabriel Yanez	N/A	2	G
18-00557	Charles Ralston Byrnes	N/A	1	G
18-00558	Beth Ann Hearn	N/A	2	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
18-00561	Richard Reid Frith II	N/A	1	G
18-00578	Shawn Michael Richardson	N/A	2	G
18-00606	Karekin Gerair Kaprelian	N/A	3	G/D
18-00607	Dennis Darrel Dachtler	N/A	1	G
18-00614	David Richard Stack	N/A	1	G
18-00615	David Richard Stack	N/A	1	G
18-00616	David Richard Stack	N/A	2	G/W
18-00622	Thomas Edison Kelly, Jr.	N/A	4	G/D
18-00632	Curtis Kim Frazee	N/A	1	G
18-00641	Emmanuel Obel	N/A	1	G
18-00642	Emmanuel Obel	N/A	1	G
18-00646	N/A	Thomas Edison Kelly	4	D
18-00657	Thomas Edison Kelly Jr.	N/A	2	G
18-00658	William R. Walters	N/A	3	G
18-00661	John Douglas Gagliardo	N/A	3	G
18-00662	Josephine Tracy White	N/A	1	G
18-00664	Robert John Cutrupi	N/A	4	G
18-00670	Richard Happle	N/A	5	G
18-00672	David Anthony Munoz	N/A	1	G
18-00674	Thomas Edison Kelly	N/A	3	G/D
18-00693	Marc M. Rosenbach	N/A	1	G
18-00697	Michael Shane Sullivan	N/A	2	G
18-00698	N/A	Mark William Birringer	1	D
18-00699	Brian John Groh	N/A	2	G
18-00700	Kenneth Philip Sorge	N/A	1	G
18-00713	Mark David Rosenberg	N/A	2	G
18-00714	Nicholas J. Tagliarino	N/A	1	G
18-00718	Christopher L. Mette	N/A	1	G
18-00719	Peter Edward Cunningham	N/A	7	G/D
18-00720	Lance J. Williams	N/A	2	G
18-00723	William Burk Rosenthal	N/A	2	G/D
18-00727	Kevin Dale Peterson	N/A	4	G
18-00739	Owen C. McBride	N/A	2	G
18-00740	William G. Murphy	N/A	2	G
18-00741	N/A	Mark David Rosenberg	3	D
18-00748	N/A	Vincent Jerome Camarda	3	D
18-00758	Clifford Mathew Robello	N/A	1	G
18-00765	Mark David Rosenberg	N/A	2	G
18-00766	Vincent Jerome Camarda	N/A	3	G
18-00768	Joseph Turner Caselle	N/A	1	G
18-00769	Bradley Dean Thomas	N/A	1	G
18-00774	N/A	Kevin George Koch	6	D
18-00779	Anthony DiBernardo	N/A	1	G
18-00781	Don Ross Martin	N/A	1	G
18-00783	Jodi Anne Selleck	N/A	2	G
18-00786	N/A	John Charles Joyce	1	D
18-00787	N/A	Jeffrey Brian Mackevich	1	D
18-00790	N/A	David Max Garrison	1	D
18-00794	Vincent Jerome Camarda	N/A	2	G
18-00797	Jeff R. Maas	N/A	1	G
18-00799	N/A	David Neale Brown	2	D
18-00812	Forrest M. Faro	N/A	1	G
18-00815	Vincent Jerome Camarda	N/A	4	G
18-00817	N/A	Eric B. Candelori	3	D
18-00821	Michael Theodore Lukas	N/A	3	G
18-00824	Christopher J. Moran	N/A	2	G
18-00828	Allen Frances Schreiber	N/A	2	G/D
18-00829	John W. Kettmann	N/A	2	G
18-00830	Richard M. Del Monte	N/A	1	G
18-00836	Robert G. Black	N/A	5	G
18-00844	Sean Mark Fetterman	N/A	2	G
18-00845	Heather K. Evans	N/A	2	G
18-00847	Frank Albano	N/A	2	G
18-00848	Ken Kavanagh	N/A	1	G
18-00857	Mary Frances Carter	N/A	1	G
18-00864	Vincent J. Camarda	N/A	1	G
18-00871	N/A	Michael Theodore Lukas	4	D
18-00872	N/A	Michael Jon Engelstad	2	D
18-00880	Grant David DeVaul	N/A	1	G
18-00888	Alexander M. Sugar	N/A	2	G
18-00889	David Brian Miller	N/A	1	G
18-00896	Keith D. Nast	N/A	1	G
18-00909	Michele Elaine Kief	N/A	1	G
18-00916	Brian Patrick Clark	N/A	8	G/D
18-00918	Jeffry Peter Kraus	N/A	1	G
18-00923	Michael William Halloran	N/A	1	G
18-00933	N/A	William McComas Byron	1	D
18-00938	William Frederick Warner	N/A	1	G
18-00939	N/A	Janet Kay Redman	1	D
18-00941	N/A	Roger Harvey Blythe	1	D
18-00942	N/A	William Martin Oliver	1	D

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
18-00946	Edward Albert Glenn	N/A	2	G
18-00947	Jeffrey Alan Swett	N/A	1	G
18-00948	Stephen Frederick Cordasco	N/A	2	G
18-00949	Anne Tunney Gagnon	N/A	1	G
18-00961	Jerrold Eliason Beck	N/A	1	G
18-00962	N/A	Kyle Mitchell McLaughlin	1	D
18-00966	Andrew B. Adams	N/A	1	G
18-00970	N/A	Ford Bradley Howell	1	D
18-00971	Robert Joseph Debbs	N/A	2	G
18-00979	Kelly Dodson Kerr	N/A	1	G
18-00989	Richard Keith Allison	N/A	1	G
18-00997	John Joseph Vento	N/A	1	G
18-01003	Randall Sandstrom	N/A	1	G
18-01006	Diana Mercedes Navarre	N/A	1	G
18-01008	Matthew E. Lasko	N/A	1	G
18-01019	Harris Scott Nydick	N/A	1	G
18-01023	Rydan Durant Case	N/A	1	G
18-01024	T.S. Thompson	N/A	3	G
18-01026	Thomas Andrew Love	N/A	1	G
18-01028	Tyler Edward Smith	N/A	1	G
18-01040	Timothy Patrick Cusick	N/A	1	G
18-01065	Rushdi Zalatio	N/A	3	G/D
18-01067	Rushdi Zalatio	N/A	2	G
18-01074	James A. Lavorgna	N/A	1	G
18-01078	Steve Baptist	N/A	1	G
18-01080	Jeremy Paul	N/A	3	G
18-01087	Joe Sidney Cotten	N/A	5	G
18-01090	Timothy Odonnell Cornell	N/A	2	G
18-01094	N/A	Veralynn Morris Starostecki	1	D
18-01095	John R. Hudspeth	N/A	3	G/D
18-01101	Frank Augustine Cuenca	N/A	3	G/D
18-01111	Michael G. Rudelson	N/A	1	G
18-01112	Stephen Romney Swensen	N/A	1	G
18-01117	Frank Augustine Cuenca	N/A	2	G
18-01130	Robert M. Buchanan	N/A	1	G
18-01131	Nelson F. Faro	N/A	1	G
18-01132	Robert M. Buchanan	N/A	1	G
18-01138	Antoine Nabih Souma	N/A	2	G
18-01143	Christopher Grant Conness	N/A	2	G
18-01153	Kurt Charles Jackson	N/A	2	G/D
18-01162	Travis Jerome Hughes	N/A	5	G/W
18-01168	Brandi Rose Stahl	N/A	1	G
18-01181	Eric Andrew Diton	N/A	4	G
18-01186	Donald C. Clark Jr.	N/A	1	G
18-01196	N/A	Scott Daniel Hood	3	D
18-01217	N/A	Vasilios Takos	3	D
18-01228	Mark Sam Kolta	N/A	3	G
18-01230	Shane Anthony Brisbin	N/A	2	G
18-01231	Frederick Thornton Scruggs Jr.	N/A	1	G
18-01234	Anthony Joseph Domino	N/A	1	G
18-01243	Colleen Regina Schuhmann	N/A	2	G
18-01253	Timothy Charles Sullivan	N/A	3	G
18-01254	Lori Ann Welborn	N/A	2	G
18-01264	Donald William Bescher III	N/A	1	G
18-01266	N/A	Travis Jerome Hughes	5	D
18-01269	Luke Gareth Meekins	N/A	1	G
18-01272	N/A	Timothy Charles Sullivan	3	D
18-01276	Thomas Peter Mullooly	N/A	3	G
18-01293	Ivan Oscar Alfaro-Ismodes	N/A	1	G
18-01301	Jason Andres Zilveti	N/A	1	G
18-01303	Mark David Rosenberg	N/A	3	G/D
18-01305	N/A	Joseph Sylvester Sturnoilo	1	D
18-01312	Jennifer Austin Hatch	N/A	1	G
18-01318	Adam Scot Goldstein	N/A	1	G
18-01331	Roger Brown Deal	N/A	2	G/D
18-01332	N/A	Kenneth James Meyers	2	D
18-01333	Jeffrey Robert Lavin	N/A	1	G
18-01344	Daniel Jay Aiken	N/A	1	G
18-01354	David Vincent Reuben	N/A	5	G/D
18-01368	Benjamin Grady Boynton	N/A	1	G
18-01371	N/A	Rafael Locken-Testa	1	D
18-01373	Shannan Maureen Denison	N/A	1	G
18-01400	Gordon Li-Heng Chen	N/A	2	G
18-01428	Paul Daniel Knott	N/A	2	G
18-01433	Mark Allen Moore	N/A	1	G
18-01434	Eugene Francis Richardson	N/A	1	G
18-01452	Alfred Bonardi	N/A	6	G
18-01468	Virgilio Benedicto Cristobal	N/A	1	G
18-01475	Michael Shawn Murphy	N/A	1	G
18-01481	David N. Sheppard	N/A	3	G
18-01482	Christopher Philip Mittleman	N/A	1	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
18-01495	Denise A. Palmer	N/A	3	G
18-01497	Mick Dadlani	N/A	2	G/D
18-01521	Kirk L. Gravelle	N/A	4	G
18-01523	Jeffrey Fratarcangeli	N/A	2	G
18-01529	John Michael McDonough	N/A	3	G/W
18-01536	Robert Scott Gilman	N/A	1	G
18-01540	Todd Evan Frank	N/A	1	G
18-01543	John Carolyn	N/A	1	G
18-01545	John Carolyn		1	G
18-01546	Lionel James Casey III	N/A	2	G
18-01553	Irvin William Rosenzweig	N/A	7	G
18-01671	Ian Blane Meierdiercks	N/A	1	G
18-01672	N/A	Marc Alan Johnson	1	D
18-01678	Daryn W. Singleton	N/A	3	G/D
18-01682	Christopher Prentiss Quebedeau	N/A	4	G
18-01710	John Bernard Burke	N/A	1	G
18-01718	Federico J. Sanchez	N/A	1	G
18-01724	James John Raia	N/A	1	G
18-01731	Philip William Michals	N/A	1	G
18-01738	Richard Maxey	N/A	2	G
18-01740	Lorenzo Daniel Esparza	N/A	2	G
18-01742	Eduardo Isaac Brender	N/A	1	G
18-01751	David Michael Rath	N/A	1	G
18-01757	Edgardo H. Ferrer	N/A	1	G
18-01771	Bryan Scott Jarman	N/A	3	G
18-01772	N/A	James William Phillips	3	D
18-01773	Troy Nicholas Tremblay	N/A	4	G
18-01777	Steven Patrick Flagg	N/A	1	G
18-01780	Laura Marie Raulinaitis	N/A	1	G
18-01790	Frank Feipang Auyeung	N/A	3	G
18-01791	Peter Johnston Wright Jr.	N/A	1	G
18-01799	Jay Richard Higgenbotham	N/A	10	G
18-01800	John William Corbett	N/A	2	G
18-01802	Anthony Claude Marcello, III	N/A	1	G
18-01804	Carol Ann Silverman	N/A	1	G
18-01821	Forrest M. Faro	N/A	1	G
18-01830	Scott Douglas Brown	N/A	2	G
18-01834	Michael Francis Clark	N/A	1	G
18-01850	Lawrence V. Sorace	N/A	1	G
18-01851	James Lebaron Springer	N/A	11	G
18-01854	N/A	Richard Michael Wesselt	2	D
18-01857	Gordon Samuel Glener	N/A	1	G
18-01862	Kai Chen	N/A	2	G
18-01875	Gary Jesse Deardorff	N/A	1	G
18-01876	Isaac Jekuthial Brown	N/A	1	G
18-01877	David Alexander Hertl	N/A	6	G/D
18-01880	N/A	Keith D. Nast	1	D
18-01888	Michael Gary Ball	N/A	1	G
18-01896	William Richard Blake	N/A	2	G
18-01908	Steven Patrick Flagg	N/A	2	G
18-01910	Stephen C. Huggins	N/A	1	G
18-01931	David Scott Powers	N/A	1	G
18-01937	John Christian Coyle	N/A	2	G
18-01950	Manish Mehta	N/A	2	G
18-01968	Matthew Ian Turner	N/A	3	G
18-01981	Stephen Mark Seewer	N/A	3	G
18-01984	Brian William Thomas	N/A	5	G
18-01987	Jason James Mosher	N/A	1	G
18-01989	Mark Alexander Soukup	N/A	1	G
18-01990	Robert Clay Coleman	N/A	3	G
18-02025	James Spottswood Gibson	N/A	4	G/D
18-02027	Timothy Charles Sullivan	N/A	3	G
18-02029	Matthew Ian Turner	N/A	2	G
18-02031	Brian William Thomas	N/A	1	G
18-02046	Kathe Sorrentino Wilson	N/A	1	G
18-02055	N/A	David James Hollander	1	D
18-02076	Trent H. Leyda	N/A	1	G
18-02082	Aaron Douglas Maurer	N/A	3	G/D
18-02084	Darren Ross Edwards	N/A	1	G
18-02088	James Francis Meyers	N/A	1	G
18-02096	Brendon Joseph Pierson Jr.	N/A	1	G
18-02105	Jeffrey Michael Kotch	N/A	1	G
18-02110	Duncan Earl Rolph	N/A	1	G
18-02111	John Earle Redfearn III	N/A	1	G
18-02115	Jason Michael Traino	N/A	1	G
18-02137	David Wren	N/A	4	G
18-02139	Richard Anthony Brewster	N/A	3	G
18-02141	Evan Robin Guido	N/A	1	G
18-02148	Breanne Polonia fka Breanne Morgan	N/A	1	G
18-02162	Douglas J. John Calnan	N/A	2	G
18-02167	Raymond Joseph Lucia Jr.	N/A	1	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
18-02175	John Burke Balcerzak	N/A	2	G
18-02188	Simon Matthew Holford	N/A	1	G
18-02192	David Philip Netkin	N/A	3	G
18-02196	N/A	Kevin Thomas Moran	1	D
18-02200	Mark Dean Brannigan	N/A	2	G
18-02205	David Wilford Allen	N/A	1	G
18-02212	Elliot Simon	N/A	2	G
18-02213	Jeffrey H. Leach	N/A	2	G
18-02219	Joseph Ismael Rodriguez	N/A	3	G
18-02222	James Allen	N/A	8	G/W
18-02236	Patrick Timothy Walsh	N/A	1	G
18-02254	Richard Steven Babjak Jr.	N/A	1	G
18-02265	Michael M. Anderson	N/A	1	G
18-02270	N/A	Leila Suely Gough	1	D
18-02274	Andrew Hughes Zimmerman	N/A	2	G/D
18-02295	N/A	Troy Wayne Taylor	2	D
18-02298	N/A	James John Raia	3	D
18-02309	Carolyn Song-Pegg	N/A	1	G
18-02320	Peter Carmine Covino	N/A	2	G/D
18-02337	James William Phillips	N/A	2	G
18-02364	Mark Crider Callahan	N/A	1	G
18-02378	Eric James Schindler	N/A	3	G/D
18-02384	Dustin Allen Smith	N/A	3	G/W
18-02387	Christopher S. Pfaff	N/A	1	G
18-02392	Jeffrey Michael Stillwell	N/A	3	G
18-02399	Jahn Dorab Gazder	N/A	1	G
18-02404	Robert Marshall Jones	N/A	1	G
18-02409	William Hennion	N/A	3	G
18-02410	Andrew Hughes Zimmerman	N/A	4	G
18-02423	Albert Alan Aizin	N/A	2	G
18-02430	N/A	Jonathan Todd Pyne	5	D
18-02441	Deborah Lynn Key	N/A	1	G
18-02451	Richard Martin Potashner	N/A	1	G
18-02456	Matt Ward	N/A	3	G
18-02462	N/A	Adrienne Elizabeth Rowles	1	D
18-02476	Ryan David Gordon	N/A	1	G
18-02494	Michael Sia Bellware	N/A	2	G
18-02514	Orion Kelly Willis	N/A	3	G
18-02528	N/A	Dale Grubb	1	D
18-02531	David Lee Garman	N/A	3	G
18-02538	George Gary Elkin	N/A	1	G
18-02579	Mark Harry Goldwasser	N/A	1	G
18-02580	Marc Layne Lowell	N/A	2	G
18-02592	Ivan Shore	N/A	1	G
18-02605	Steven Carlon Burch Jr.	N/A	1	G
18-02614	Lewis Demiles Baker	N/A	1	G
18-02621	Salvadore J. Miletello IV	N/A	2	G
18-02627	Thomas Ardavast Kudrauskas	N/A	1	G
18-02633	N/A	Gregory Ray Powell	2	D/W
18-02665	James Patrick Shawver	N/A	2	G/D
18-02676	Nathaniel S. Barnhart	N/A	1	G
18-02677	Lyle Hans-Christian Pennell	N/A	1	G
18-02686	Mark Robert Fasano	N/A	1	G
18-02693	Jason Andrew Hoffman	N/A	1	G
18-02700	Kevin Michael Turnock	N/A	2	G
18-02702	Kurt Willard Peterson	N/A	1	G
18-02710	Donald Ray Jackson	N/A	1	G
18-02712	Bert Allen Cooper	N/A	2	G
18-02731	Adam Kenneth Stern	N/A	1	G
18-02752	Byron Andrew Holz	N/A	2	G
18-02754	Mickey Dean Owen, Jr.	N/A	1	G
18-02756	Jonathan Philip Hyett	N/A	1	G
18-02769	Robert Brian Sklar	N/A	4	G
18-02771	Eric Henry Burt	N/A	1	G
18-02775	Scott Layne Hultsman	N/A	1	G
18-02776	Michael Adam Fish	N/A	1	G
18-02786	David Gary Rey	N/A	1	G
18-02787	Marisa F. Pollard	N/A	4	G
18-02792	Margaret Rucker Hixon	N/A	4	G
18-02813	Dean Morgan Paugh	N/A	6	G
18-02819	Eric A. Dupre	N/A	2	G
18-02825	N/A	Ling Yung Wu	1	D
18-02835	William Milton Lendl	N/A	1	G
18-02860	Franklyn Edgerton Clarke	N/A	3	G
18-02867	James C. Salem	N/A	2	G
18-02870	Denise Marie Klemens	N/A	2	G
18-02902	Scott David Shames	N/A	2	G
18-02903	Jay Shah	N/A	4	G/D/W
18-02904	Jay Shah	N/A	1	G
18-02905	Alfred Francis Matarazzo Jr.	N/A	2	G/D
18-02908	Marvin Dean Ferguson	N/A	2	G

Docket No.	Broker Granted Expungement	Broker Denied Expungement	Expungement Requests (#)	Results of Requests
18-02932	Andrew Michael Wizarde	N/A	1	G
18-02936	Nick Eugene Son	N/A	4	G
18-02942	William Gerard Morse	N/A	2	G
18-02958	Chi-Hong Chu	N/A	2	G
18-02979	Jeffrey M. Sorensen	N/A	4	G
18-02999	Mark Richard Maller	N/A	2	G
18-03000	David L. Rojas	N/A	1	G
18-03001	David L. Rojas	N/A	1	G
18-03012	Martin Lee Schroeder	N/A	1	G
18-03036	William David Isaacson	N/A	2	G
18-03041	Joseph David Schofield III	N/A	1	G
18-03049	Richard Jay Zinman	N/A	1	G
18-03060	N/A	James William McLellan	1	D
18-03098	James William McLellan	N/A	6	G
18-03114	Dominic Fredo Galati	N/A	1	G
18-03140	Jeffrey Newman	N/A	1	G
18-03152	N/A	Erinn June Ford	5	D
18-03154	Erinn June Ford	N/A	2	G
18-03156	Erinn June Ford	N/A	1	G
18-03166	Colleen E. Farley	N/A	1	G
18-03173	Justin M. Bonavitacola	N/A	1	G
18-03196	Thomas A. Zur	N/A	4	G
18-03213	Nisha Sandeep Varma	N/A	2	G
18-03214	Peter Ivan Michael Shusterman	N/A	1	G
18-03225	John Andrew Nelson	N/A	3	G
18-03254	Ronald R. Wetzel Jr.	N/A	4	G/D
18-03262	Raffaele Gambardella	N/A	1	G
18-03264	Michael Fasciglione	N/A	3	G
18-03265	Ronald Stillman Cole	N/A	8	G/D
18-03267	Matthew Martin Howley	N/A	1	G
18-03296	Natasha Helen Lessard	N/A	1	G
18-03332	Robert Joseph Binkele	N/A	1	G
18-03335	David E. Shoesmith	N/A	1	G
18-03368	David Reid Petty	N/A	13	G
18-03405	Albert May Alexander Jr.	N/A	2	G
18-03406	Michael Raymond Frager	N/A	1	G
18-03423	Kenneth Vincent Nelson	N/A	1	G
18-03427	Dennis Patrick Ryan Jr.	N/A	1	G
18-03439	James C. Steele	N/A	1	G
18-03457	N/A	Gerald Richard Sharpe Jr.	3	D
18-03458	Robert Robbins	N/A	2	G
18-03459	N/A	Scott Charles Kurkjian	1	D
18-03468	Luis J. Alayo-Riera	N/A	3	G
18-03476	Brett Charles Oley	N/A	1	G
18-03479	N/A	Alejandro Mendieta	1	D
18-03482	Alejandro Mendieta	N/A	1	G
18-03484	Alejandro Mendieta	N/A	1	G
18-03509	Gregory James O'Hare	N/A	1	G
18-03530	Johnson George Andrews	N/A	1	G
18-03545	Heath Patrick Abernathy	N/A	2	G
18-03558	Hermann Josef Hartell	N/A	1	G
18-03567	Mark Edwards	N/A	1	G
18-03570	Rhyan Khawar Young	N/A	2	G/D
18-03591	Mark Miller	N/A	3	G
18-03613	Alfonso Luis Boneta	N/A	2	G
18-03619	Gerald Irwin Maisner	N/A	4	G
18-03626	Elias Solomon Friedman	N/A	1	G
18-03638	Melody Ann Juge	N/A	2	G
18-03643	Charles Lee Simmons	N/A	1	G
18-03657	Paul Douglas Axberg	N/A	2	G
18-03665	Lance Eric Roberts	N/A	1	G
18-03666	Ken Morris	N/A	2	G
18-03682	Brian M. Levy	N/A	1	G
18-03703	Peter Dizdar	N/A	1	G
18-03708	Riad Shanawany	N/A	1	G
18-03709	Walter Rocco Kukowski	N/A	1	G
18-03725	N/A	Debra Helen Taylor	1	D
18-03736	Ronald Louis Valpey	N/A	1	G
18-03738	Peter Ivan Michael Shusterman	N/A	1	G
18-03742	Bradley L. Barber	N/A	1	G
18-03745	Paul K. Doak	N/A	2	G/D
18-03767	N/A	Jason P. Spears	1	D
18-03798	John Lahoud	N/A	1	G
18-03828	Eileen Rose Kanoski	N/A	1	G
18-03864	N/A	Julie Hermes Castillo	1	D
18-03909	Jeff Malcolm Davis	N/A	2	G
18-03926	Keith Joseph Steidle	N/A	1	G
18-03928	N/A	Jeffrey Scott Sims	1	D
18-03932	Prakash Chandru Shahani	N/A	2	G
18-03982	Ting Xie O'Connor	N/A	1	G
18-03991	Michael Anthony Abramo	N/A	3	G

