

1. Sponsoring Organization (Name, Mailing Address):
 Name: Public Investors Arbitration Association 'PIABA'
 Address: 2415 A Wilcox Drive Norman, OK 73069

 Phone: (405) 360-8776 Fax: (405) 360-2063
 E-mail: rsringo@piaba.org

2. Title of educational activity: 2017 PIABA Securities Law Seminar and Annual Meeting

3. Date(s) and location(s): 10/18/17 - 10/21/17 The Broadmoor Colorado Springs, CO

4. Registration fee: \$1400 (see brochure for complete list)

5. Writing surface available: Yes

6. Delivery Method(s): faculty in room with participants; telephone to broadcast site;
 interactive video; satellite; audiotape presentation; videotape presentation;
 interactive computer/internet; discussion leader present

7. Advertised to: Lawyers; Clients; Others (specify, list %): CPA's, Paralegals, Mediators, Arbitrators - 25%

8. List any admission restrictions: _____

9. "In-house activity" requirement (see local rules to determine applicability):
 open/publicized to outside lawyers; outsiders are _____% of faculty;
 clients are % of audience

10. Method of evaluation: participant critique; independent evaluator; none;
 other _____

11. Description of materials to be distributed: total pages 500+ looseleaf; bound
 Distributed: Before Program; At program; Other _____

Electronically

12. REQUIRED ATTACHMENTS to this application:
 time schedule (brochure, course outline, course description)
 table of contents or equivalent
 faculty name(s) and credentials (if not in brochure or description)
 \$50 fee for each application (payable to the WV State Bar)
 if submitted at least 30 days prior to the activity. \$100 if submitted less than 30 days prior to the activity.

13. Total MINUTES of instruction, not including breaks, meals, or introductions/closing remarks:

GENERAL (non-ethics):	_____	1260	←
Ethics (minutes):	_____	210	
Substance Abuse (minutes):	_____		
Office Management (minutes):	_____		
Elimination of Bias (minutes):	_____		
TOTAL MINUTES:	_____	1050	←

*Please evaluate Professionalism if applicable

14. Approval by other states:

Granted by: CO; IN; Applying 30+ states
 Denied by: _____

15. Submitted by employee of sponsor/provider; individual lawyer

SPONSOR OBLIGATIONS: Sponsor acknowledges and agrees to comply with all local rules and regulations provided on The West Virginia State Bar website - www.wvbar.org.

Sponsor/Attorney: Robin S. Ringo
 Signature: *Robin S. Ringo*
 Title: Executive Director
 Date: September 5, 2017

STATE ACCREDITATION OFFICE DECISION (To be completed by the state accreditation office and returned to applicant)

- RETURNED for more information. Please complete each item on the form indicated by the number(s) circled below: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15
- APPROVED for 25.20 MCLE credits, including 4.20 Ethics/Office Mgmt/Substance Abuse/Elimination of Bias Credits
- DENIED Reference _____
- SEE ATTACHED MATERIALS

Date: 9/11/17 CLE Staff *Hope R. Asham*