

MISSOURI MINIMUM CONTINUING LEGAL EDUCATION

Application By A Sponsor
For Accreditation of A Continuing Legal Education Program Or Activity

AUG 22 2017

Sponsor's Name Public Investors Arbitration Bar Association (PIABA)
(See the reverse side of this form for the Obligations of the Sponsor)

Contact Person Robin S. Ringo E-Mail Address: rsringo@piaba.org

Address 2415 A Wilcox Drive Norman, OK 73069
P.O. Box/Street City/State Zip

Telephone Number (405) 360-8776 Fax Number (405) 360-2063

(1) Title of Program or Activity: 2017 Securities Law Seminar and Annual Meeting

(2) Date(s): October 18-21, 2017

(3) Location(s) of Program (city, state/live teleconference/live webcast): Colorado Springs, CO / live program

(4) Outline of topics by title, speaker and time allocated per topic. Please attach a copy of the brochure/time agenda.

Table with 3 columns: Start/Stop Time, Topics, Speaker(s). Row 1: See attached agenda, [blank], [blank].

(5) To whom is this program offered (e.g. lawyers, accountants, doctors) Lawyers, Accountants, CPA's, Paralegals
Regulators, Experts

(6) If known, list other states with continuing legal education requirements which have approved this CLE activity:
Applications pending in 30+ States

Total credit hours requested: 24.6. Minimum of 1.0 credit hour required (50 minutes of instruction).

Professionalism, substance abuse and mental health, ethics or malpractice prevention* hours requested: 3 ethics.
For a definition of the types of instruction that qualify for professionalism, substance abuse and mental health, ethics or malpractice prevention* credit, please see the reverse side of this form. (*Commonly referred to as ethics credit) If there is a portion of a program devoted exclusively to ethics, there must be at least 25 minutes (0.5 MCLE credit hour) devoted to ethics credit.

Hours of credit shall be determined by the formula set forth below.
Total Minutes of Actual Instruction = Total Hours (Round to the nearest 1/10 of an hour)
50

The following may not be counted for credit: coffee breaks, introductory remarks, meal breaks, and business meetings.
Confirmation of approval and the number of credit hours approved or notice of disapproval will be sent to the sponsor. An attorney should keep a personal record of attendance at the program for the purpose of filing the Attorney's Annual Report of Compliance, Form 1, by July 31 of each year. The attorney or sponsor is not required to submit a certificate or other evidence of attendance at this program or activity to The Missouri Bar.

RETURN THE COMPLETED FORM TO:

The Missouri Bar
Attn: MCLE
326 Monroe St.
P.O. Box 2355
Jefferson City, MO 65102-2355

For Missouri Bar Office Use Only
Approved Denied
Total Credit Hours 25.2
Ethics Credit Hours 4.2
Returned for additional information. Complete item(s) on form indicated by the number(s) circled:
1 2 3 4 5 6
Date: 8-23-17
By: [Signature]
Notes:



MCLE FACSIMILE TRANSMISSION COVER SHEET

326 Monroe St. - P.O. Box 119
Jefferson City, MO 65102
Phone: 573-635-4128
Facsimile: 573-635-2811

DATE: August 28, 2017

NUMBER OF PAGES: 2

TO: Robin S. Ringo

FAX NUMBER: 405-360-2063

FROM: MCLE

SUBJECT: Accreditation of CLE Program

- ❖ If there are problems with this transmission, please contact Lea Ann at 573-638-2220 for assistance.
- ❖ If you have questions regarding the credit hours for which the program was approved, please contact Sandra at 573-638-2256.
- ❖ If you are a sponsor of the program, please review the obligations of a sponsor for a program approved for Missouri MCLE set forth below:

OBLIGATIONS OF SPONSOR

By submitting this application, the sponsor agrees to comply with the requirements of the Supreme Court of Missouri Rule 15 and accompanying regulations including but not limited to:

1. Maintaining records of attendance at all programs and activities for at least three (3) years after the date of completion of the program or activity and making available to a record of attendance to an individual lawyer or his or her attorney upon request and to The Missouri Bar upon its request (Reg. 15.04.04(b));
2. providing each participant lawyer with the opportunity to complete an evaluation questionnaire at the conclusion of the approved program or activity and maintaining the questionnaires for up to one year pending a request by The Missouri Bar (Reg. 15.04.1(f));
3. conducting programs and activities which directly contribute to the professional competency of lawyers or judges and which have significant intellectual or practical content related to the development or practice of law, professional responsibility, or law office management (Rule 15.04(b)); and
4. The program or activity must be conducted in a comfortable physical setting conducive to learning.