

APPLICATION TO THE STATE OF:

Mississippi Commission on CLE, P.O. Box 369, Jackson, MS 39205

1 SPONSORING ORGANIZATION INFORMATION

NAME Public Investors Arbitration Bar Association - 'PIABA'

ADDRESS 2415 A Wilcox Drive

CITY Norman

STATE

OK

ZIP

73069

TELEPHONE

FAX

EMAIL

(405) 360-8776

(405) 360-2063

rsringo@piaba.org

2 TITLE OF EDUCATIONAL ACTIVITY

2017 PIABA Securities Law Seminar and Annual Meeting

3 DATE(S)

October 18-21, 2017

LOCATION(S)

The Broadmoor Colorado Springs, CO

4 REGISTRATION FEE: \$1,400

5 WRITING SURFACE AVAILABLE: ☒ Yes ☐ No

6 METHODS OF PRESENTATION:

☒ Faculty in Room with Participants☐ Interactive Video☐ Audio Presentation☐ Internet On-Demand (Interactive)☐ Telephone to Broadcas☐ Satellite☐ Videotape Presentation☒ Discussion Leader present7 TYPE OF LAW CODE(S): (Available for review: <https://www.cle.org/cleclassifications.asp>)

1. Business

Additional Codes Optional: 2

3.

4.

8 DEGREE OF DIFFICULTY: ☐ Beginner ☐ Intermediate ☐ Advanced ☒ All Levels

9 ADVERTISED TO:

☒ Lawyers☐ Clients☒ Others (Specify/Indicate %) Paralegals; ☒

10 LIST ANY ADMISSION RESTRICTIONS:

N/A

11 IN-HOUSE ACTIVITY INFORMATION (See Local Rules for Applicability)

Open/Publicized to Outside Lawyers ☐ Yes ☐ No

Outsiders are _____ % of Faculty & Clients are _____ % of audience

If not open, please specify reason:

12 METHOD OF EVALUATION: ☒ Participant Critique ☐ Independent Evaluator ☐ None ☐ Other:

13 MATERIALS DESCRIPTION

Total Pages: 500+

Distributed:

☐ Loose leaf☒ Before Program☐ Bound☒ At Program☐ No materials supplied☒ Other: Electronically

14 REQUIRED ATTACHEMENTS TO THIS APPLICATION:

- Time Schedule/Agenda (Brochure, Outline, Description)
- Table of Contents
- Faculty Description
- Complete Set of Materials and Fees (Only in states where required)

APPLICANT INFORMATION (please print)

Sponsor Representative

Name: Robin S. Ringo

Title: Executive Director

Complete the following if filed by individual attorney:

Attorney Name:

Address:

City:

State:

Zip:

Contact Number:

Email:

15 CREDITS REQUESTED:

Indicate minutes of instruction not including breaks, meals or introductions:

General/Substantive: 1,050

Ethics: 210

Substance Abuse: _____

Other: _____

Total: 1,260

16 ACCREDITATION BY OTHER STATES:

GRANTED: Applying to 30+

DENIED:

SUBMITTED BY: ☒ Course Sponsor ☐ Individual Lawyer

Please Complete and sign Applicant Information →

SIGN HERE

Robin S. Ringo

Date: 9/5/2017

MCLE STATE NOTIFICATION OF ACCREDITATION

To be completed by the MCLE State regulatory agency and returned to applicant.

Course Number: _____ Date: 9/18/17

The following action has been taken on this application:

☒ APPROVED for a total of 18.0 CLE credits
Including 3.5 Ethics CreditsOther Credit Breakdown: _____
(If applicable)☐ NOT APPROVED

(See comments below or additional information attached.)

☐ RETURNED for the request of additional information.
Please complete each item on the form as indicated by the numbers circled below.

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

☒ OTHER *Regulation 3.8
Regulator Comments:

*Regulation 3.8 The maximum number of hours which may be claimed for credit in any one seminar is 18.