

1. Sponsoring Organization (Name, Mailing Address):  
 Name: Public Investors Arbitration Association 'PIABA'  
 Address: 2415 A Wilcox Drive Norman, OK 73069  


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 Phone: (405) 360-8776 Fax: (405) 360-2063  
 E-mail: rsringo@piaba.org
  
2. Title of educational activity: 2016 PIABA Securities Law Seminar and Annual Meeting
  
3. Date(s) and locations(s): 10/26/16 - 10/29/16 Rancho Bernardo Inn, San Diego, CA
  
4. Registration fee: \$1350 (see brochure for complete list)
  
5. Writing surface available: Yes
  
6. Delivery Method(s):  faculty in room with participants;  telephone to broadcast site;  
 interactive video;  satellite;  audiotape presentation;  videotape presentation;  
 interactive computer/internet;  discussion leader present
  
7. Advertised to:  Lawyers;  Clients;  Others (specify, list %): CPA's, Paralegals, Mediators, Arbitrators - 25%
  
8. List any admission restrictions: \_\_\_\_\_
  
9. "In-house activity" requirement (see local rules to determine applicability):  
 open/publicized to outside lawyers;  outsiders are \_\_\_\_\_% of faculty;  
 clients are % of audience
  
10. Method of evaluation:  participant critique;  independent evaluator;  none;  
 other \_\_\_\_\_
  
11. Description of materials to be distributed: total pages 500+  looseleaf;  bound  
 Distributed:  Before Program;  At program;  Other \_\_\_\_\_  

Electronically
  
12. REQUIRED ATTACHMENTS to this application:
  - a. time schedule (brochure, course outline, course description)
  - b. table of contents or equivalent
  - c. faculty name(s) and credentials (if not in brochure or description)
  - d. \$50 fee for each application (payable to the WV State Bar)  
 if submitted at least 30 days prior to the activity. \$100 if submitted less than 30 days prior to the activity.

13. Total MINUTES of instruction, not including breaks, meals, or introductions/closing remarks:

GENERAL (non-ethics):	975
Ethics (minutes):	120
Substance Abuse (minutes):	
Office Management (minutes):	
Elimination of Bias (minutes):	
TOTAL MINUTES:	1095

\*Please evaluate Professionalism if applicable

14. Approval by other states:

Granted by: Applying 30+ states

Denied by: \_\_\_\_\_

15. Submitted by  employee of sponsor/provider;  individual lawyer

SPONSOR OBLIGATIONS: Sponsor acknowledges and agrees to comply with all local rules and regulations provided on The West Virginia State Bar website - [www.wvbar.org](http://www.wvbar.org).

Sponsor/Attorney: Robin S. Ringo

Signature: \_\_\_\_\_

Title: Executive Director

Date: June 20, 2016

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**STATE ACCREDITATION OFFICE DECISION (To be completed by the state accreditation office and returned to applicant)**

RETURNED for more information. Please complete each item on the form indicated by the number(s) circled below: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15

APPROVED for 21,90 MCLE credits, including 2.40 Ethics/Office Mgmt/Substance Abuse/Elimination of Bias Credits

DENIED Reference \_\_\_\_\_

SEE ATTACHED MATERIALS

Date: 7/11/16

CLE Staff *Hope R. Gresham*