NORTH CAROLINA
STATE BAR

Board of Continuing Legal Education

208 Fayetteville St. (27601)
Post Office Box 26148
Raleigh, North Carolina 27611
Telephone: (919) 733-0123
Fax: (919) 821-9168
Web: www.nccle.org

August 25, 2011

Public Investors Arbitration Bar Association
Attn: Robin Ringo
2415 A Wilcox Dr
Norman, OK 73069

Re: PIABA 13th Annual Securities Law Seminar on 10/26/2011
Sponsor #1546; Course #1 (Revised)

Dear Sponsor:

The Board of Continuing Legal Education, through its Accreditation Committee, has approved the referenced CLE activity. Any North Carolina attorney attending will receive 6.50 hour(s) of CLE credit, of which 0.00 hour(s) * will be available to satisfy the anti-substance abuse/mental health awareness requirement, 1.00 hour(s) will be available to satisfy the professional responsibility/ethics requirement and 5.50 hour(s) will be available to satisfy the general requirement.

Please note the sponsor and course numbers above. Identification numbers should accompany attendance, payment, and other references to this course.

As a reminder, North Carolina requires 2 hours of ethics and 10 hours of other approved activities annually; every third year we require one additional hour of ethics credit dealing specifically with attorneys' substance abuse and/or mental health issues. Credit is based on a 60-minute hour. Activities that are primarily social in nature are not approved.

As a sponsor of this program, you are reminded of your obligation to transmit to the Board, within 30 days after your presentation, an alphabetical list of North Carolina lawyers, with state bar numbers, in attendance; you should also submit any changes in content, faculty or timing that differ substantially from those previously submitted.

Payment of the North Carolina State Bar processing fee should accompany attendance reports. A sponsor does not pay the fee when approved activities are offered without charge to attendees and/or when the sponsor is headquartered outside North Carolina and the event is held outside North Carolina. In the latter case, payment of the fee by the sponsor is optional. The fee is $3.00 per credit hour per attorney. Example: 6 hour class x $3.0 = $18.00 per attorney x 7 attorneys = $126.00. If you have any questions regarding sponsor fees, please call me at 919-733-0123.

Thank you very much for your attention to our requirements.

Sincerely,

Lori Nicolicchia

Lori Nicolicchia
Accreditation Coordinator

*** No partial credit under 60 minutes may be given for substance abuse.**

Heather Baker, Chair
Michael K. Pratt, Vice Chair
Marcia Armstrong, Judge A. Davis, Judge Julius H. Corpening
Judge Margaret P. Eagles, Susan Hargrove, Renee Hill, Amy P. Hunt
Alice N. Mine, Executive Director