

MISSOURI MINIMUM CONTINUING LEGAL EDUCATION

Application By A Sponsor
For Accreditation of A Continuing Legal
Education Program Or Activity

MAY 26 2011

Return to: The Missouri Bar, Attn: MCLE, P.O. Box 2355, Jefferson City, Missouri 65102-2355.

Sponsor's Name Public Investors Arbitration Bar Association (PIABA)

Contact Person Robin S. Ringo E-Mail Address: rsringo@piaba.org

Address 2415 A Wilcox Drive Norman, OK 73069

Telephone Number (405) 360-8776 Fax Number (405) 360-2063

(1) Title of Program or Activity: PIABA 13th Annual Securities Law Seminar

(2) Date(s): October 26, 2011

(3) Location(s) of Program: Ranchos Las Palmas, Rancho Mirage, CA

If a brochure outlining the course content, faculty, written materials and information requested in items (4)-(7) is available, please attach a copy of the brochure. Ordinarily a copy of the brochure should be sufficient to make a determination. An item in (4)-(7) should be answered only if the information is not contained in the brochure.

(4) Outline of topics by title, speaker and time allocated per topic (attach separate page if needed).

Table with 3 columns: Start/Stop Time, Topics, Speaker(s). Content: See attached agenda

(5) Materials to be distributed: Prior to the program by .pdf download and at the Program; 150 pages, bound

(6) If known, list other states with continuing legal education requirements which have approved this CLE activity: applied in 20+ states

(7) To whom is this program offered (e.g. lawyers, accountants, doctors) Mediators, Arbitrators, CPA's; paralegals

Total number of credit hours requested: 6.5 Number of professionalism, ethics or malpractice prevention hours requested: 1 Hours of credit shall be determined by the formula set forth below. The number of accredited hours is the maximum that may be acquired, only hours actually attended may be reported. For a definition of the types of instruction that qualify for professionalism, ethics or malpractice prevention credit, please see the reverse side of this form.

Minutes of Actual Instruction = Hours (Round to the nearest 1/10 of an hour) 50

The following may not be counted for credit: coffee breaks, introductory remarks, meal breaks, and business meetings.

An attorney should keep a personal record of his attendance at the program for the purpose of filing the Attorney's Annual Report of Compliance, Form 1, by July 31 of each year. The attorney or sponsor is not required to submit a certificate or other evidence of attendance at this program or activity to The Missouri Bar. For the obligations of a sponsor, see the reverse side of this form.

For Office Use Only

RETURN THE COMPLETED FORM TO:

The Missouri Bar
Attn: MCLE
P.O. Box 2355
Jefferson City, MO 65102-2355

by: Robin S. Ringo date: 5/10/2011

Robin S. Ringo, PIABA Executive Director

The following action has been taken on this application:

APPROVED for 7.8 MCLE credits including 1.2 ETHICS credits

DENIED

RETURNED for additional information. Please complete each item on form indicated by the number(s) circled. 1 2 3 4 5 6 7 8 9 10

NOTES:

DATE: 12/15/11 MCLE STAFF: C. J. ...