## JRM APPLICATION FOR ACCREDITATION OF CONTINUING LEGAL EDUCATION

	Ate of:	•	
	onsoring organization:	STATE ACCREDITATION OFFICE NOTICE OF DECISION	
	Name: Public Investors Arbitration Bar Association	Course No	
	Address: 2415 A Wilcox Drive	(To be completed by the state accreditation	
	Norman, OK 73069	office and returned to applicant)	
	Telephone: (405) 360-8776 Fax (405) 360-2063	The following action has been taken on	
	E-mail: rsringo@piaba.orq	this application:	
_	<u> </u>	☐ RETURNED for more information.	
2.	Title of educational activity:	Please complete each item on the form	
	PIABA 13th Annual Securities Law Seminar	indicated by the number(s) circled below:	
		12345678910111213141516	
	Rancho Mirage, CA	APPROVED for 6.5 CLE credits,	
3.	Date(s) and location(s): October 26, 2011	Including LOEthics credits	
	6350	☐ DENIED Reference ☐ SEE ATTACHED MATERIALS.	
4	Registration fee: \$350	GEE ATTACHED WATERIALS,	
5.	5. Writing surface available?   Yes  No		
6. Delivery Method(s):   ☐ faculty in room with participants; ☐ telephone to broadcast site; ☐ interactive video; ☐ satellite; ☐ audiotape presentation; ☐ videotape presentation; Interactive computer/internet ☐ discussion leader present			
7.	. Type of Law code(s): 1. <u>BUS</u> 2 (Optional) 3 (Optional)  Difficulty Level:  Beginner;  Intermediate; □ Advanced		
8.			
9.	List any admission restrictions:		
10.	"In-house activity" requirement (see local rules to determine applicability):		
□ open/publicized to outside lawyers; □ outsiders are % of faculty; □ clients are % of audience		∵ ☐ clients are % of audionas	
11,	I. Method of evaluation: ဩ participant critique; ☐ independent evaluator; ☐ none		
□ other			
	Description of materials to be distributed: total pages Distributed: D		
13.	a. time schedule (brochure, course outline, including breaks, meals or introductions)		
	b toble of contact	220	
	c. faculty name(s) and credentials (if not in brochure  General (not in brochure)  Ethics (min	on-etnics);	
	or description)	Substance Abuse:	
	d. complete set of materials (only in states where required)  e. fees (only in states where required)  Other:		
15.	15. Approval by other states: Granted by _applied in 20+ states		
Denied by N/A			
16.	Submitted by:     3 employee of sponsor/provider;	l lawyer	
	Robin S. Ringo Title	PIABA Executive Director	
SPONSOR OBLIGATIONS: Sponsor acknowledges and			
agrees to comply with all local rules and regulations attached.  Signature  Date  5//0 /20 //			
Sponsor Representative Robin S. Ringo			