

Please be advised that the Illinois Supreme Court Commission on Professionalism has approved the substance of this course as qualifying for 1.0 hours professional responsibility credit.

Donna Crawford, Education Director  
Illinois Supreme Court Commission on Professionalism Two Prudential Plaza 180 N. Stetson  
Ave., Suite 1950 Chicago, IL 60601  
p: 312.363.6212  
[www.ilsccp.org](http://www.ilsccp.org)

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Date: Mon, 23 Nov 2009 13:57:42 -0500

Application: A

Provider\_Name: Public Investors Arbitration Bar Association

Contact\_Name:

State: OK

Contact\_Phone\_Number: 888.621.7484

email: [abowers@piaba.org](mailto:abowers@piaba.org)

Course\_Name: PIABA 11th Annual Securities Law Seminar

Course\_Start\_Date: 10/28/2009

Course\_End\_Date: 10/28/2009

Course\_Date\_Determined: No

Prof\_Resp\_Credit\_Hours: 1

Prof\_Resp\_Course\_Learn\_Objectives: Ethics Considerations in Arbitration Discovery:  
Investgations and Ethics: Issues that may arise during investigations of Securities-Related  
misconduct.

Professionalism\_percent: 100

Diversity\_Issues\_percent:

Mental\_Illness\_Addiction\_Issues\_percent: