

DRM APPLICATION FOR ACCREDITATION OF CONTINUING LEGAL EDUCATION RECEIVED
STATE OF MAINE

Please note: Approved Providers must submit a non-refundable \$35 application fee at time of application. Non-approved Providers must submit a non-refundable \$45 application fee at time of application. Course approval requests by individual attorneys for any non-approved course that charges a registration fee must include a non-refundable \$25 application fee. There is no application fee for courses that do not charge a registration fee. Thank you.

FEB 27 2020

1. Sponsoring Organization: Public Investors Arbitration Bar Association - PIABA

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STATE ACCREDITATION OFFICE NOTICE OF DECISION (to be completed by state accreditation office and returned to applicant)

COURSE NO: 094053

The following action has taken place on this application:

APPROVAL DENIED (see attached)

RETURNED for more information as indicated.

APPROVED for 7.0 CLE credit(s),
Including Professionalism credit(s), and/or
 Harassment/Discrimination credit(s).

Self-study/in-house CLE only.

CLE Staff: Chamie Date: 3.2.20

2. Title of educational activity: 2020 Mid Year Meeting: Current Issues in Securities Arbitration

3. Date(s) and location(s): March 26, 2020
Washington, DC

4. Registration fee: Yes \$ 350 No

5. Writing surface available? Yes No

6. Delivery method(s): faculty in room with participants; teleconference; webcast; satellite; audiotape presentation; videotape presentation; video conference; digital media; other _____; discussion leader present

7. Type of Law code(s): 1. Business 2. _____ (Optional) 3. _____ (Optional)

8. Advertised to: Lawyers Clients Others (specify): Paralegals; Regulators; Arbitrators

9. List any admission restrictions: n/a

10. In-house activity (not advertised to outside lawyers): Yes No

11. Method of evaluation: participant critique independent evaluator none

12. Description of materials to be distributed: loose-leaf bound number of pages 300+ *3 to 5 days prior to meeting by download/jump drive*

13. Required attachments to this application:
- a. time schedule (brochure, course outline, course description)
 - b. table of contents or equivalent
 - c. faculty names and credentials
 - d. fees (sponsors see below)

14. Total minutes of instruction, not including breaks, meals or introductions:

General (non-ethics)	<u>420</u>	<u>7.0</u>
Professionalism/Ethics	_____	_____
Harassment/Discrimination	_____	_____
TOTAL:	<u>420</u>	_____

15. For individual attorneys requesting CLE teaching/instruction credit:

Total minutes of instruction: _____ Written materials provided? Yes, number of pages _____ No
Total minutes of attendance (non-instruction time): _____

16. Approval by other states: Granted by: applying 15+
Denied by: _____

17. Submitted by: sponsor/provider individual lawyer Signature: Robin S. Ringo Date: 2/24/2020
Bar No. of individual lawyer: _____

SPONSOR OBLIGATIONS: Sponsor acknowledges and agrees to comply with all local rules and regulations as defined in M. Bar R. 5, which can be viewed on our website at www.mebaroverseers.org.