

FORM 6 / SP

MISSOURI MINIMUM CONTINUING LEGAL EDUCATION

Application By A Sponsor
For Accreditation of A Continuing Legal
Education Program Or Activity

MAR 20 2018

Sponsor's Name Public Investors Arbitration Bar Association - 'PIABA'
(See the reverse side of this form for the Obligations of the Sponsor)
Contact Person Robin S. Ringo E-Mail Address: rsringo@piaba.org
Address 2415 A Wilcox Drive Norman, OK 73069
P.O. Box/Street City/State Zip
Telephone Number 405-360-8776 Fax Number 405-360-2063

(1) Title of Program or Activity: Registered Investment Advisers (RIAs) Webcast

(2) Date(s): April 26, 2018

(3) Location(s) of Program (city, state/live teleconference/live webcast): Norman, OK webinar / webcast

(4) Outline of topics by title, speaker and time allocated per topic. Please attach a copy of the brochure/time agenda.

Table with 3 columns: Start/Stop Time, Topics, Speaker(s). Row 1: 2:00 pm est, Row 2: 3:00 pm est.

(5) To whom is this program offered (e.g. lawyers, accountants, doctors): Lawyers, paralegals, legal assistants

(6) If known, list other states with continuing legal education requirements which have approved this CLE activity: Applied in 15+ states

Total credit hours requested: 1.2. Minimum of 1.0 credit hour required (50 minutes of instruction).

Professionalism, substance abuse and mental health, ethics or malpractice prevention* hours requested: 0
For a definition of the types of instruction that qualify for professionalism, substance abuse and mental health, ethics or malpractice prevention* credit, please see the reverse side of this form. (*Commonly referred to as ethics credit) If there is a portion of a program devoted exclusively to ethics, there must be at least 25 minutes (0.5 MCLE credit hour) devoted to ethics credit.

Hours of credit shall be determined by the formula set forth below.
Total Minutes of Actual Instruction = Total Hours (Round to the nearest 1/10 of an hour)
50

The following may not be counted for credit: coffee breaks, introductory remarks, meal breaks, and business meetings.
Confirmation of approval and the number of credit hours approved or notice of disapproval will be sent to the sponsor. An attorney should keep a personal record of attendance at the program for the purpose of filing the Attorney's Annual Report of Compliance, Form 1, by July 31 of each year. The attorney or sponsor is not required to submit a certificate or other evidence of attendance at this program or activity to The Missouri Bar.

RETURN THE COMPLETED FORM TO:

The Missouri Bar
Attn: MCLE
326 Monroe St.
P.O. Box 2355
Jefferson City, MO 65102-2355

For Missouri Bar Office Use Only
Approved Denied
Total Credit Hours 1.2
Ethics Credit Hours 0
Returned for additional information. Complete item(s) on form indicated by the number(s) circled:
1 2 3 4 5 6
Date: 3.20.18
By: [Signature]
Notes: